# Ultra Low Power Wake-Up Radios: A Hardware and Networking Survey

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Abstract—In wireless environments, transmission 2 reception costs dominate system power consumption, motivating 3 research effort on new technologies capable of reducing the 4 footprint of the radio, paving the way for the Internet of 5 Things. The most important challenge is to reduce power 6 consumption when receivers are idle, the so called idle-listening 7 cost. One approach proposes switching off the main receiver, 8 then introduces new wake-up circuitry capable of detecting 9 an incoming transmission, optionally discriminating the packet 10 destination using addressing, then switching on the main radio 11 only when required. This wake-up receiver technology represents 12 the ultimate frontier in low power radio communication. In 13 this paper, we present a comprehensive literature review of 14 the research progress in wake-up radio (WuR) hardware and 15 relevant networking software. First, we present an overview of 16 the WuR system architecture, including challenges to hardware 17 design and a comparison of solutions presented throughout the 18 last decade. Next, we present various medium access control and 19 routing protocols as well as diverse ways to exploit WuRs, both 20 as an extension of pre-existing systems and as a new concept to 21 manage low-power networking.

Index Terms-Wake-up radio, MAC protocols, energy effi-23 ciency, multichannel, asynchronous communication, Internet of 24 Things, survey, green networking.

## I. INTRODUCTION

THE INTERNET of Things (IoT) offers a new Internet frontier considering networks between smart physical 28 objects or "Things", which are embedded with sensors, actu-29 ators, and/or processing capabilities [1]. IoT provides novel 30 applications for various fields such as Smart Cities, build-31 ing automation, domotics, logistics, Smart Grid, e-Health, and 32 agriculture [2].

A founding pillar of the IoT concept is the availability 34 of low-cost devices with low-power wireless communication 35 capabilities, often deployed as part of a larger Wireless Sensor 36 Network (WSN) [3], to provide both sensing and actuation 37 capabilities. These devices are usually powered by batteries 38 with restricted size and capacity [4], and thus have limited life-39 time requiring careful power management. With the increase

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in the number of IoT devices, replacing or recharging batteries 40 frequently will not only be costly but infeasible as well. 41 Therefore, prolonging the lifetime of these devices, or even 42 better achieving perpetual operation, becomes fundamental for 43 the realization of the IoT vision.

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Traditionally, these problems have been addressed by the 45 introduction of low-power radios and of *duty-cycling* Medium Access Control (MAC) protocols [5]. Notwithstanding, one of the most power hungry tasks performed by these nodes is low-power wireless communication. In most applications, its consumption far exceeds that of sensing, actuation, and processing, and became the main bottleneck in extending device lifetime.

Recent developments in CMOS power consumption have led to the birth of a new design paradigm of wake-up radios (WuRs) to further reduce power consumption and, in combination with energy harvesting [6], [7], reach the goal of the perpetual operation.

## A. From Duty-Cycling MACs to Wake-Up Radios

The main reason duty-cycling MACs alone can not sufficiently extend the lifetime of a node is that the consumption of low-power wireless radios is almost the same when listening for transmissions as while transmitting. For example, the widely used CC2420 radio module consumes 21.8 mA in listening mode and 19.5 mA in data transmission mode [8]. If such a radio would be always-on (listening for other transmissions or transmitting) it would deplete reasonable sized batteries in less than a week.

During duty-cycling, the nodes are periodically put into sleep mode and are woken up only to transmit or to receive. Unfortunately, the so called duty-cycling ratio (the ratio of time the radio is in transmit or receive mode to time off) cannot go arbitrarily low, due to:

- (i) idle listening: occurs when the node monitors the communication medium for ongoing transmissions, but there is no data to be received by the node. Since nodes must listen periodically to limit data latency, there is a listening power consumption that cannot be avoided, even in 77 low data traffic scenarios.
- (ii) overhearing: occurs when a node receives packets from 79 its neighbors that are not intended for that node, leading to energy waste, especially when the network density is high and the data traffic is heavy.

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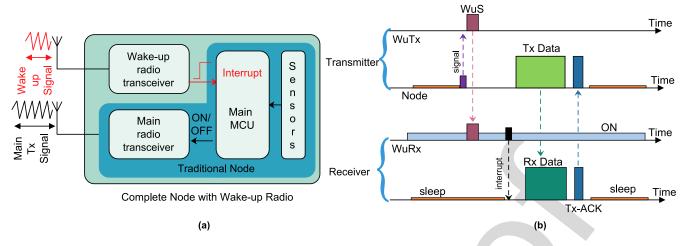


Fig. 1. (a) Overall Wake-up Radio architecture. The blue region indicates the traditional node integrated with the Wake-up Radio. (b) Remote triggering using wake-up radio scheme.

83 Due to the sleep intervals, duty-cycling protocols also intro-84 duce significant data latency since no information can be sent 85 or received until the nodes wake-up.

Finally, duty-cycling MAC protocols must either maintain me synchrony to make sure transmitters send when receivers 87 ti 88 are awake, which induces a time synchronization overhead, in the case of asynchronous operation the MAC protocol 90 must employ continuous (or multiple) transmissions to ensure 91 reception. The longer the sleep interval of the receiver, the 92 longer the continuous transmission must be, dictating a lower-93 bound on achievable duty-cycles.

These design compromises have led the sensor network 95 community to design and implement various MAC protocols 96 resulting in a "MAC Alphabet Soup" for sensor networks [9] 97 each targeting different scenarios and offering different com-98 promises throughout the design space of energy consumption, 99 latency, throughput, and fairness. Nevertheless, duty cycling protocols may not be suitable for delay sensitive and eventdriven applications, and prolonging device lifetime requires extreme compromises in other dimensions of the design space, limiting the applicability of the technique.

The introduction of wake-up radios aims to provide a novel 105 hardware solution with listening power consumption orders 106 of magnitude lower than that of low-power radios, promising 107 results towards eliminating the aforementioned problems of 108 idle listening, overhearing, continuous transmissions, and data 109 latency.

In a WuR architecture, as shown in Fig. 1 (a), an ultra-low 110 111 power, secondary radio module with a receiver consuming a 112 few micro watts of power is along side the primary, low-power 113 radio. Since its power consumption is several orders of mag-114 nitude lower than that of a traditional low-power radio, the WuR can be kept always-on, leading to a use in contrast to 116 the duty cycling operation descried earlier for the main radio. One modality in which the WuR can be used is illustrated in 118 Fig. 1 (b). In this setting, the main radio is kept in a deep 119 sleep, or off mode, until it is needed. Instead when a node has data packet to send, it sends a special packet known as a 121 wake-up signal (WuS) using its wake-up transmitter (WuTx). 122 The always-on wake-up receiver (WuRx) detects this WuS, and generates an interrupt to the main node's micro-controller 123 to switch it from sleep to an active mode. Subsequently, the 124 main micro-controller turns on the main radio transceiver to 125 exchange data packets with the other node in a conventional 126 manner.

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This seemingly simple and obvious mode of operation has 128 been made possible by recent advances in CMOS power con- 129 sumption, allowing both the implementation of the ultra low 130 power analog front-end to receive the WuS as well as a low 131 power digital component used inside the WuR for address 132 decoding.

#### B. Wake-Up Radio: Benefits and Design Trade-Offs

As mentioned previously, idle listening is a significant contributor to the overall energy consumption of duty cycling 136 nodes. With the introduction of a WuRx with orders of mag- 137 nitude lower consumption, the WuR approach minimizes this 138 unnecessary energy wastage, as the main radio and the node 139 will be activated only when there is an actual transmission.

In addition, some WuRs add circuitry for an addressing 141 mechanism that can be used to solve the issue of overhear- 142 ing by decoding an address embedded in the packet, waking 143 up only a specific node rather than the entire neighborhood.

Further, since the WuRx can be always-on, the node can 145 operate in a purely asynchronous manner, activating the main 146 radio on-demand, without requiring continuous transmissions. 147

Finally, since the time taken to trigger the main node is on 148 the order of milliseconds (ms), the latency problem faced by duty-cycling MAC protocols is also reduced.

While the concept the WuR seem simple and the benefits 151 look promising, the hardware implementation and its usage as 152 part of the larger system present several challenges and design 153 trade-offs.

At the hardware design level, achieving listening with very 155 low power consumption places limits on RX processing and 156 on the components that can be used in the WuRx. Various 157 hardware options had been explored in literature exploring 158 a wide range of options, including some that are not radio 159 frequency (RF) based, e.g., optical or acoustic.

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Strict bounds on power consumption also limit the choice 162 of modulation schemes and receiver complexity, which, as consequence, limit receiver sensitivity, and ultimately the 164 achievable communication range. As the main radio is triggered by the WuR, this range limitation of the WuR inherently 166 limits the communication, regardless of the main radio's capabilities. As we will show throughout our survey, various 168 compromises have been taken in this regard, from focus-169 ing on short-range scenarios (Body Area Networks), to using 170 out-of-band sub-GHz WuS, to using greatly increased WuTx 171 power.

As far as the MAC protocol is concerned, pure asyn-172 173 chronous operation enabled by the always-on WuRx largely 174 simplifies protocol design. However, the development of new WuR specific MAC protocols are required, taking into account 176 the dual radio setup of the WuR architecture.

## 177 C. Contribution and Related Work

This paper offers:

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- (i) An extensive survey and classification of the state of the art in wake-up receiver prototypes implemented and tested since 2002, specifically 75 RF based wake-up radios (Table VIII) and 10 non-RF based prototypes (Table IX).
- (ii) An extensive survey and classification of the state of the art in MAC and routing protocols designed to take advantage of wake-up radio technology.
- (iii) The identification and discussion of emerging applications that can benefit from WuR technology.
- An outline of open issues, challenges, and future research directions for WuR based systems.

Other hardware surveys exist [10], [11], identifying key 191 haracteristics of the wake-up technology such as power con-193 sumption, sensitivity and data rates, but focusing only on characteristics at the hardware layer. While we also present the 195 hardware, we offer insight into its impact on the networking stack software.

Alternate work considers the validity of the combination of wake-up radios and energy harvesting [12]-[14].

198 Similarly, several studies have emphasized 200 benefits of wake-up radios for extending node 201 time [11], [15], [16], while also improving reliability and reducing latency [17], [18]. Our work compliments and 203 extends these by reporting on several wake-up radios that 204 directly harvest energy from the wake-up signal, referred to 205 as passive in Table VIII, as well as on the consumption values 206 of the receivers, a critical element for considering them as a 207 component in a system with energy-harvesting.

On the software side, the last decade has seen a plethora of 208 209 low-power communication protocols [19], especially focused 210 on the MAC layer [5], [20]-[22] or on general energy conservation schemes [23]. A brief survey of wake-up receivers for WSNs offered an introduction to the technology [24], focusing 213 on software only at the MAC layer. Routing has been studied 214 in general for WSNs in isolation [25]-[28], or in cross-layer 215 solutions [29], [30]. Nevertheless these surveys do not focus

TABLE I ACRONYMS FOR WAKE-UP RADIO TECHNOLOGY

WuR	wake-up radio, the secondary low- power module
WaDa	1
WuRx	wake-up receiver
WuTx	wake-up transmitter
WuS	wake up signal, the message sent
	by the WuTx

on the unique properties of the wake-up radio technology, and 216 the impact it has on this layer.

## D. Structure of This Article

The remainder of this paper is organized as follows: 219 Section II depicts the main characteristics of a wake-up radio. 220 Section III discusses the design space and architecture of 221 wake-up radios followed by some of the main implementation 222 requirements when designing wake-up radio based systems. 223 Sections IV and V discuss the state-of-the-art wake-up radio 224 hardware designs and comparative analysis between each char- 225 acteristic, respectively. The integration of different medium 226 access control and routing protocols that are based on wake- 227 up radios are presented in Sections VI and VII. In Section VIII 228 we briefly discuss some of the application scenarios that can 229 benefit from wake-up radios. Finally, in Section X we conclude 230 this survey with open research issues.

## II. WAKE-UP RADIO DEFINING CHARACTERISTICS AND REQUIREMENTS

Before we begin, we summarize in Table I the key termi- 234 nology we use throughout our survey to identify components 235 of the wake-up technology.

The technology and design considerations for the WuR play 237 a key role in determining the efficiency of low power sensor 238 networks. For the WuR to operate effectively as part of the 239 larger system in a multi-user environment, it should consider 240 the following design points:

- (i) Power consumption: The most important feature of the 242 WuR is its low power consumption in active mode. In 243 fact, as its use requires the addition of new hardware on 244 top of the main node, the device itself must consume 245 no more than tens of micro-watts. Specifically WuR's 246 active power should be below that of the main radio's 247 sleep power [31] to provide a positive balance between 248 power saved and used. This is the main specification 249 driving WuR design.
- (ii) Time to wake-up: The node attached to the WuR must 251 wake-up with minimum latency upon reception of WuS 252 to avoid latency incurred from multi-hops toward the 253 sink and to increase the overall responsiveness of a 254 purely asynchronous network. A range of protocols and 255 applications can benefit from WuR based systems pro- 256 vided that the latency is low. For example, applications 257 in health-care have strict latency requirements and can- 258 not support introducing long delays due to the wake up 259 procedure.
- (iii) False wake-ups and interference: If all nodes in a sen- 261 sor network rely on the same wake-up strategy, when 262

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the WuTx tries to wake-up a node, it will trigger all the nodes in the neighborhood causing significant energy waste. This causes unnecessary activation of many nodes that should be avoided. There are two possible sources of false wake-ups: 1) nodes waking up when receiving a WuS intended for another node, and 2) interference from nearby devices operating at the same frequency. To tackle the first, the WuR can employ a node addressing and decoding capability to trigger only the intended node. This allows the WuRx to avoid generating an interrupt if the WuS was not intended for it, however it introduces complexity and often consumption at the WuRx. Second, interference and background noise that can result in erroneous wake-ups must be filtered. A WuRx must have enough local processing capability to differentiate a WuS from ambient interference, without using the main node's processor. Due to the low power budget, only basic modulation techniques can be used requiring a simple receiver structure [32]. Modulation schemes such as on-off keying (OOK), pulse width modulation (PWM) or amplitude shift keying (ASK) can be used to reduce the possibility of devices interfering with each other. A preamble can be used to differentiate noise from a valid WuS, thus avoiding false wake-ups. In addition, the WuS must not be missed by the targeted node, as retransmissions are costly in terms of power consumption and latency. To ensure this, a feedback loop such as WuS acknowledgment (WuS-ACK) can be employed by the WuRxs indicating the successful reception of the WuS.

- Sensitivity and range: In WuR design, receiver sensitivity is an important parameter as it provides the lowest power level at which the receiver can detect a WuS. Generally, high sensitivity requires more power hungry electronics at the receiver side, thus high power demand. In contrast, low sensitivity for the same communication range will require high radiated power at the transmitter side. Because of this, sensitivity requirements often leads to over-design to ensure reliable communication in adverse conditions. When the WuR is used to trigger a higher power radio, ideally it should have the same range. Unfortunately this is not reasonable with the power constraints, therefore, most WuR designs target tens of meters of communication range to support many application scenarios [33]. Very short communication ranges make WuR impractical as high node densities would be required to cover a short distance in a multi-hop fashion increasing node and energy costs. Another side effect of a short communication range is the increase in the hop count messages must traverse to reach the sink, increasing the overall data latency. The wake-up range that can be achieved with most current WuR designs is typically around 30m a value that can be improved by using techniques such as antenna diversity [34] and directional antennas [35].
- Data rate: The overall power expenditure of a node is not only a function of physical layer properties such as carrier frequency, radio architecture, and the choice of

the antenna, but is also a function of the amount of time 321 the radio spends to deliver the data packet over the air. 322 This time depends on the data rate supported by the 323 WuTx and the protocol overhead to establish and main- 324 tain the communication link. Data rate is, therefore, one 325 of the key factors defining the power consumption of 326 WuRs. For example, a WuR with 100 kbps will con- 327 sume almost half the power of a 50 kbps WuR for the 328 same payload size. For a WuTx with low data rate, the 329 bit duration and the power required to send the WuS 330 will be significantly higher. Due to the longer bit dura- 331 tion, the modulation will keep the transmitter active for a 332 longer time. On the WuRx side, the time and the energy 333 required to generate the wake-up interrupt will also be 334 significantly higher as the receiver and the demodula- 335 tion circuitry will be active until the transmission ends. 336 A higher data rate can be seen as a way to improve 337 energy efficiency and to achieve faster wake-up. While 338 a high data rate reduces wake-up latency, a longer bit 339 duration increases the communication range and the reli- 340 ability of the WuS. At a lower data rate the energy per 341 bit exhibited by the transmitter is higher, which can be 342 accumulated by the WuRx while receiving the WuS. A 343 high data rate is not strictly required by the WuR, espe- 344 cially if it is only used as a triggering device as only a 345 few bytes of data are required.

- Cost and size: To integrate the WuR into existing sensor 347 nodes, it should be cost effective. To make the WuR 348 feasible [24], the cost of this additional hardware should 349 be in the range of 5-10% of the cost of the complete 350 sensor node. This is, nevertheless, a loos requirement, 351 as some applications can support higher costs if gains 352 are sufficient. Further, standard off-the-shelf components 353 can be used to speed the development and to reduce 354 the overall cost as compared to designing a single chip 355
- (vii) Frequency regulation: Finally, WuR designs should 357 adhere to frequency regulations in industrial, scientific 358 and medical (ISM) bands. It must also comply with 359 communication standards such as the maximum allowed 360 effective radiated power (ERP) used to transmit WuS.

## III. ARCHITECTURE AND TAXONOMY OF WURS

We begin this section by presenting a generic architecture 363 for WuRs and the building blocks that makeup the complete 364 hardware solution. We discuss the functionality of different 365 hardware components and how these devices can be powered 366 and interfaced with traditional sensor nodes. We then move on 367 to present a taxonomy of WuRs, illustrated in Fig. 4, show- 368 ing multiple dimensions that distinguish the designs from one 369 another.

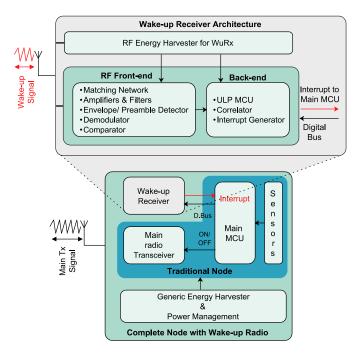
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## A. Generic Architecture of WuRs

While WuRs can be constructed in many different ways, 372 each exposing different performance and peculiarities, there 373 are some common building blocks utilized by all designs. Two 374 distinguished implementation approaches have been identified, 375

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Expanded view of the generic wake-up receiver architecture with energy harvesting capabilities.

376 i.e., prototypes constructed using off-the-shelf discrete compo-377 nents and implementations that exploit CMOS technology for 378 constructing integrated circuits. Power consumption is one of 379 the driving factors behind the use of WuRs due to the energy 380 saving that it can provide. Typically, CMOS implementations achieve improved performance because they better integrate all 382 the components directly on silicon, i.e., more dense integrated 383 circuits result in smaller IC footprints for the same function, 384 hence consuming less power. On the other hand, when using discrete components there are more constraints on each single component selected to build the circuit resulting in worse 386 average performance than CMOS-based designs.

Fig. 2 illustrates the current architecture and the different 388 functional blocks that form a complete WuRx. This architec-390 ture is divided into two sections: the RF front-end and the 391

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The WuS is first received by the RF front-end via the 393 antenna and then passes through the matching network that filters and boosts the incoming WuS. After input matching, an envelope detector performs signal detection and conversion to baseband signal making the circuit simpler and energy efficient. Then, the signal passes through the amplifiers, often 398 the low noise amplifier (LNA) for increasing the sensitivity of 399 the receiver by amplifying weak signals while meeting noise 400 requirements. The LNA dominates in terms of power consumption. Therefore, while designing ultra-low-power WuRxs is essential to eliminate some, if not all, of these power-403 hungry RF components, to reduce power consumption. The voltage multiplier rectifies the RF energy and converts this 405 input signal into a direct current (DC) signal. Usually, the voltage multiplier is constructed by cascading capacitors and <sup>407</sup> zero-bias Schottky diodes. The more energy in the RF signal, 408 the greater the voltage change at the output of the rectifier,

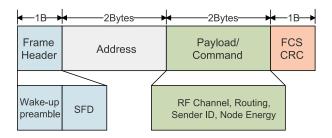


Fig. 3. Typical wake-up signal packet structure.

which is sensed using a comparator. When there is enough 409 energy to trigger the comparator, the back-end is able to issue 410 an interrupt to the main micro-controller. This back-end can 411 also consist of an ultra-low power micro-controller or cor- 412 relator circuit that decodes and filters the node address and 413 generates an interrupt.

From the energy point-of-view, one of the hurdles is to 415 supply sufficient energy to operate these devices in a self- 416 sufficient manner without replacing batteries frequently. One 417 of the approaches to achieve this is through Wireless Energy 418 Harvesting (WEH). As illustrated in Fig. 2 the subsystem can 419 include one or more energy harvesters that convert the ambient 420 energy into electrical energy. The Generic Energy Harvester 421 module that can power the complete node (including the 422 WuRx, the main transceiver, the main MCU and the sensors) 423 exploiting different energy sources such as magnetic, solar, 424 wind, and mechanical vibrations. Also a separate and stan- 425 dalone RF Energy Harvester, dedicated only for the WuRx, 426 can be employed making the subsystem fully passive, i.e., the 427 energy can be scavenged from the incoming WuS itself. The 428 RF-EH unit consists of an antenna and a power management 429 unit (PMU). The PMU basically controls the power supplied to 430 other blocks of the WuRx. In some applications it is possible 431 to directly power the WuRx using the harvested energy from 432 the WuS without energy storage, however, this may not be a 433 viable solution. An alternative would be to include a storage 434 component such as rechargeable batteries or super-capacitors 435 acting as an energy buffer for the subsystem. The main purpose 436 of this storage component will be to accumulate and preserve 437 the harvested energy for later use, thus supporting variations in 438 the RF power level emitted by the WuTx. The wake-up range 439 is relatively short due to free space path loss, low sensitivity, 440 and efficiency of power harvesting at the WuRx. As a result, 441 the WuS is usually transmitted at high power.

The wake-up transmitter, which is usually not detailed in 443 the literature, also plays an important role from the system 444 point of view. Most of the works mentioned in this survey use 445 the standard node's transmitter as a WuTx such as CC2420 or 446 CC1101 [11], [15], [36]-[40].

Finally, we briefly address the content of the WuS, 448 whose packet structure must meet compliance requirements 449 and standards to be used by different technologies. Recent 450 attempts [41] have been made to standardize this for WuRs in 451 medical applications.

A typical WuS packet is illustrated in Fig. 3:

(i) Frame Header: The frame header consists of the wake- 454 up preamble and start frame delimiter (SFD), a standard 455

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byte pattern agreed between the transmitter and the receiver. The preamble contains a set of bits that allow the transmitter and receiver to synchronize their bit intervals and the SFD indicates to the receiver the actual start of the frame and when to start decoding the contents of the packet. The size of the SFD is typically fixed at 1B.

- Address: The optional address field contains the des-(ii) tination node ID for identifying the intended receiver. While most designs in our literature survey use node IDs up to 2 bytes [38], [42], the size of this field can be varied depending on the capabilities of the WuRx as discussed below. One of the dimensions of our taxonomy, described next, considers the benefits and costs of addressing inside the packet.
- Payload / Command: This field contains the actual appli-(iii) cation data, command or extra instructions specified by 472 the user or application.
  - Error detection: Finally, to check data integrity, a frame check sequence (FCS) using a cyclic redundancy code (CRC) is applied. While simple, the CRC provides a high degree of error detection at high speed.

#### 478 B. Taxonomy Overview

For the purposes of this survey, we identify four major 480 dimensions for classifying a WuR: power source, addressing 481 capability, channel usage and communication medium. Fig. 4 482 shows multiple options for each of these dimensions and maps, when possible, the WuRs from Tables VIII and IX. We address each major dimension, beginning with power, as it has the 485 most significant impact on system efficiency.

- (i) Power (Passive): While the WuR requires power to receive a signal, it does not require continuous power. Instead, it can harvest energy, e.g., from the ambient environment or from the incoming wake-up signal itself (Fig. 2). The latter case places a burden on the transmitter side as the WuTx must modulate and transmit the WuS long enough, typically a few seconds, for the WuRx to detect the signal and accumulate enough energy to power the trigger circuitry. The longer the WuTx is active, the more power is consumed. Moreover, this process requires additional hardware at the WuRx side, thus increasing circuit complexity. The process of accumulating energy also delays the wake-up of the main node, affecting network performance by increasing latency and reducing data throughput. Although passive WuRs are energy efficient and offer extended lifetimes, they often have a shorter operating range than active WuRs, typically only a few meters.
- Power (Active): To address the constraints of passive (ii) WuR, the majority of research efforts focus on fullyactive WuRs that receive a continuous, external power supply either using batteries or a renewable energy harvester hosted on the main node. The objective of this design is to increase sensitivity, providing longer operational ranges with very low power consumption. 65%

- of the prototypes that we present in this survey are 511 active WuRs.
- (iii) Power (Semi-active): In semi-active WuRs, a minority 513 of the components of the receiver, e.g., correlator, com- 514 parator and decoder, require continuous power from an 515 external source while the RF front-end remains passive. 516

Next we consider the recipient of the WuS, specifically 517 whether it can be broadcast-only, with the intent to reach all 518 nodes in range, or can contain an address as shown in Fig. 3, 519 intended for a node with a specific ID.

- (i) Addressing (ID-Based): Optionally, the WuS can contain 521 a bit sequence, typically 8 to 16 bits, for selective node 522 addressing. This increases the size of the packet, but 523 reduces false wake-up and thus overall system energy 524 consumption. After reception of the WuS, the WuRx 525 checks if the signal is intended for it. If so, it triggers and 526 wakes up the main node for data reception. This scheme 527 is referred to as ID-based wake-up and is mostly used to 528 construct unicast-based systems. It should be noted that 529 energy is consumed to decode a wake-up packet and this 530 is typically performed by an external, low-power micro- 531 controller. Further, the length of the address encoding 532 affects performance. While a long address code is more 533 robust against false wake-ups, it requires a long trans- 534 mit time, hence more power is consumed. Studies [43] 535 consider the trade-off between the length of the wake-up 536 signal and the energy savings, revealing that the energy 537 used to send the selective wake-up signal only pays off if 538 many nodes are not falsely woken up. In other words, the 539 energy required to transmit the wake-up signal is higher 540 than the energy lost during false-wake up. For low den- 541 sity networks where little data is exchanged, the extra 542 cost of ID-based addressing may not be worthwhile.
- (ii) Addressing (Broadcast): When the entire neighborhood 544 of nodes receives the wake-up signal, the scheme is 545 referred to as broadcast based wake-up. Broadcast based 546 wake-up can reduce the data latency w.r.t. ID-based 547 systems since the receiving node need not decode a 548 wake-up packet to analyze the recipient ID, but can 549 instead immediately trigger its main radio transceiver 550 after receiving the preamble. However, this is potentially 551 expensive in terms of total system power consumption 552 as all neighboring nodes are woken up.

Next, we turn to how the WuR transceiver utilizes the channel for WuS transmission. Note that the choice of channel or 555 frequency depends on the application and the device to which 556 the WuR is attached.

- (i) Channel (In-Band): In in-band communication, the main 558 node's transceiver and the WuR use the same frequency 559 band, i.e., either 2.4GHz or sub-GHz and can share the 560 same antenna. This technique is cheaper as there is no 561 need for a separate antenna.
- (ii) Channel (Out-of-Band): In out-of-band systems, the 563 main node and the WuRx are equipped with sepa- 564 rate transceivers, each operating at different frequencies. 565 For instance, the WuR prototype presented in [38] 566 operates at 868 MHz while the main data radio 567 operates at 2.4 GHz band. Using frequency or code 568

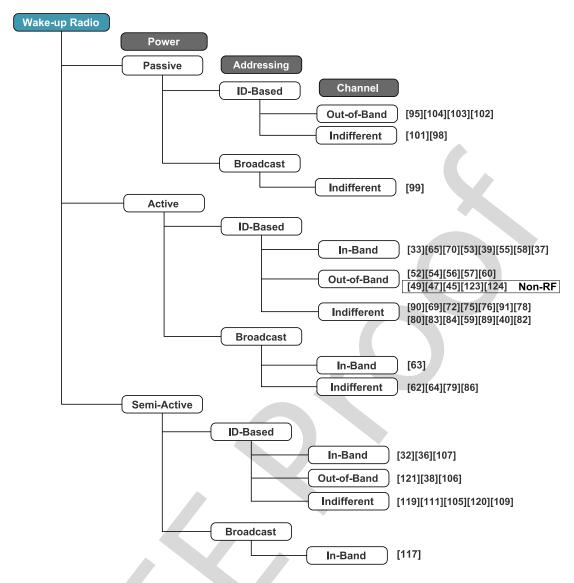


Fig. 4. Taxonomy of wake-up radios showing the hardware design space.

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division techniques such as frequency-hopping spread spectrum, this separate channel can further consist of multiple channels to be able to wake-up specific nodes. The benefits of using separate channels for WuS transmission and data include decreased interference from neighboring nodes operating in the same frequency band and increased signal capacity. However, equipping the WuR with separate channel capability may increase the cost and complexity of the system design.

Finally, we look at the different communication mediums that can be utilized for WuS transmission. Fig. 4 does not explicitly show this as a vast majority of the systems we survey fall into a single category, namely RF-Based. Instead, we explicitly indicate the few systems that are not RF-based, and refer the reader to Table IX for details.

(i) *Medium (RF-Based):* If radio signals such as extremely low frequency (~3 kHz) to extremely high frequency (up to several GHz) are used for signaling, the scheme is referred to as *RF based* wake-up. RF based WuRs

- have been very widely used and will be discussed in 589 more detail in the next section. 590
- (ii) *Medium (Acoustic)*: Acoustic based wake-up such as 591 ultrasonic and audio signals have also been considered. 592 This medium does not require any special infrastructure 593 and the audio signals can be easily generated by speakers 594 or smart phones. Yadav *et al.* [44], Lattanzi *et al.* [45], 595 Hoflinger *et al.* [46], and Sánchez *et al.* [47] have 596 proposed WuR designs based on sound wave for WuS 597 transmission.
- (iii) *Medium (Optical):* Optical as a communication medium 599 for WuRs has also be utilized for indoor sensor 600 networks [48], [49]. For example, Mathews *et al.* [48] 601 have used Free Space Optics (FSO) for sending WuS. 602

As a system designer, this taxonomy serves as a guide to 603 the available WuR technologies that could meet the constraints 604 of the system. Knowing if continuous power can be provided 605 in a given environment can direct one along the branch with 606 the appropriate power source. Knowing the approximate node 607 density and the expected data rate can serve as indicators for 608

614

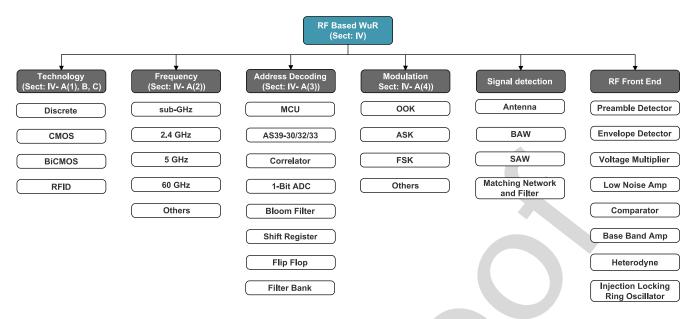


Fig. 5. Defining characteristics of RF-based WuRs with various building blocks. Wake-up radios meeting each characteristic appear in Tables II to VII while Tables VIII and IX provide the full summary of each surveyed prototype.

609 whether unicast, ID-based addressing or broadcast communi-610 cation is most appropriate. Finally, the amount of expected data to be transferred can lead one to a solution where the WuS is on a same or different channel.

#### IV. STATE-OF-THE-ART WAKE-UP RADIOS

Following this taxonomy for system designers, we now shift 615 focus to the hardware composition of the various prototypes described in the literature. This section offers a comparison 616 of 75 RF-based WuR prototypes, summarized in Table VIII. offer a clear picture of the current research landscape, we organize this section first along the power source dimension 620 outlined in the previous section: active, passive, and semiactive systems.

Inside our description of active radios, we offer a catego-622 623 rization, overviewed in Fig. 5, that defines the key hardware 624 characteristics. We focus on four: core fabrication tech-625 nology, frequency usage, address decoding, and modulation 626 techniques.

Following this in-depth discussion of active RF-based WuR, 627 628 our more concise discussions of passive and semi-active focus 629 on the technology only.

Within each subsection we offer a table categorizing the 631 radios of Table VIII according to the options for each feature, highlighting (in bold and yellow) the prototypes that are described in detail in the text. Not all prototypes appear in each, separate table, as not all information is known about 635 each prototype, preventing us from adding it to the tables.

We end the section with a brief summary of non-RF WuRs 637 and a discussion.

## 638 A. Active Wake-Up Radios

In this section, we present active WuRs that require an exter-640 nal current source to receive a packet. In most cases, they 641 are used in an always-on manner, but we defer this usage

TABLE II WUR CATEGORIZATION BASED ON TECHNOLOGY

, [55],
<b>[65]</b> ,
, [73],
], [82],

discussion to later. As previously mentioned, we divide our 642 discussion of active WuRs into four categories: the technology 643 used to realize the prototype, operating frequencies that have 644 been utilized in different bands, address decoding techniques, 645 and wake-up signal modulation.

1) Technology: The overall power consumption of the WuR 647 depends on its design technology as well as its implementa- 648 tion. Mainly, the chip fabrication technology such as CMOS 649 and BiCMOS for digital circuits and the use of off-the-shelf 650 discrete components for analog circuitry. Although off-the- 651 shelf components allow quick implementation, CMOS based 652 WuRs are more energy efficient and have smaller form factors. 653

Use of off-the-shelf discrete components and IC packages 654 has allowed designers to simplify and foster rapid prototyp- 655 ing of WuRs with low power consumption, low cost, ease of 656 changes, and reliability.

Petrioli et al. [39] presented a WuRx using fully discrete 658 components that support four different channels in a 2.4 GHz 659 band, thus enabling node addressing. The receiver front end 660 consists of the antenna, low noise amplifier and three power 661 slitters followed by the filter bank. According to the tests, the 662 sensitivity of the WuRx is -83 dBm, while its power con- 663 sumption is 1620  $\mu$ W. The line-of-sight communication range 664

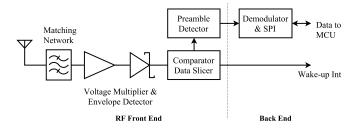


Fig. 6. Discrete components based WuRx architecture [40].

AQ2

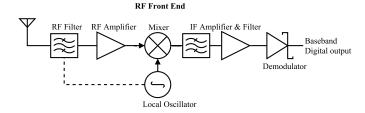


Fig. 7. Generic Block diagram of Heterodyne WuRx [62]

is 120 m, the highest range attained using low complexity receiver design. However, this design also has higher power demand compared to other WuRxs in this category and does not provide the details for the transmission power required to achieve this range.

In recent years power consumption of CMOS devices has greatly reduced allowing researchers to design ultra-low power circuits. There are 29 WuR prototypes based on CMOS technology.

In chronological order, the idea of developing and using ultra-low power radios as WuRs was first conceived by the PicoRadio project [59], which proposed a CMOS based node architecture that could be used both as a data radio and as a WuR using a carrier frequency of 1.9 GHz with data rate up to 100 kbps. The PicoRadio has a 10 m range and consumes around 380  $\mu$ W from a supply voltage of 1 V. However, not much detail was provided on the hardware side.

Many of the proposed CMOS based prototypes have adopted a heterodyne approach. Heterodyne is a method to convert an incoming high frequency RF signal into one at a lower frequency by mixing two or more signals, where high gain and selectivity could be obtained with relative ease (Fig. 7).

Pletcher *et al.* [60] proposed a 1.9 GHz WuRx chip consuming 65  $\mu$ W from a 0.5 V supply in an active mode (receiving and decoding the WuS). The receiver data rate and the sensitivity are 40 kbps and -50 dBm, respectively using OOK for WuS modulation. The design was further improved in [62] by using an "uncertain-IF" architecture to reduce the power consumption to 52  $\mu$ W with enhanced data rate and sensitivity of 100 kbps and -72 dBm, respectively. The WuRx consists of BAW resonator for network impedance matching, a front-end-IF (Intermediate Frequency) amplifier for RF signal conditioning and amplification followed by an envelope detector for extracting the shape of the signal and converting it to direct current (DC) for triggering the node's MCU.

A simulation based super-regenerative heterodyne WuRx 701 using duty cycling scheme is proposed by Yu et al. [61]. 702 The super-regenerative WuRx consists of an isolation amplifier 703 as an interface between the antenna and oscillator providing 704 network matching followed by an envelope detector. To reduce 705 power consumption, the oscillator is duty cycled at 10%. With 706 duty cycling, the WuRx dissipates an average power of 56  $\mu$ W 707 in listening mode for 100 kbps OOK modulated signal using 708 2.4 GHz carrier frequency. However, this power consump- 709 tion increases drastically to 525.6  $\mu W$  at 1.8 V supply if 710 no duty cycling is applied. Similarly, the WuRx prototype 711 presented by Yoon et al. [70] also employs duty cycling. The 712 proposed WuRx features two modes of operation; monitor- 713 ing mode (MO) for receiving the preamble and identification 714 mode (ID) for node address decoding. The WuRx is only duty 715 cycled in the MO mode while in the ID mode the duty cycling 716 is terminated and the data is received at higher data rate. In 717 MO mode this node consumes as low as 8.4  $\mu$ W from a 1.8 V <sub>718</sub> power supply offering a data rate of 1 kbps. As a consequence 719 of high bit rate of 200 kbps employed for address decoding, 720 the power surges to 1100  $\mu$ W for the receiver sensitivity of 721 -73 dBm.

Another 2.4 GHz based heterodyne WuRx was proposed by 723 Drago *et al.* [65]. The WuS is modulated using pulse-position-724 modulated (PPM) impulse radio modulation scheme. The main 725 building blocks of this WuRx front end are an antenna, a 726 matching network with an on-chip inductor, and a local-727 oscillator (LO) generator for down-converting the frequency. This IF signal is then amplified using multiple frequency IF-729 amplifier and then down-converted to baseband by a full-wave 730 rectifier. To achieve low power consumption, the receiver front 731 end as well as the LO generator are duty-cycled at pulse level, 732 thereby reducing the power consumption to 415  $\mu$ W. The full 733 WuRx prototype achieves a sensitivity of -82 dBm at a data 734 rate of 500 kb/s with energy efficiency of 830 pJ/bit.

There are also designs reported in the literature with power 736 consumption above 1000  $\mu$ W [64], [75], [87], [89] compared to the ones discussed earlier. The WuRx proposed by 738 Bdiri and Faouzi [87] has attained the longest communication range of 82 m using heterodyne approach at transmission 740 power of 10 dBm with receiver sensitivity of -60 dBm. 741 However, at the same time this particular WuRx has the highest power demand of 5247.5  $\mu$ W when receiving and decoding 743 the WuS. Other heterodyne based WuRx prototypes achieving 744 power consumption between 22  $\mu$ W and 100  $\mu$ W have also 745 been reported in [77], [78], [81], and [84].

Radio-Frequency Identification (RFID) technologies have 747 been used as WuR for accomplishing asynchronous multi-748 modal wake-up where an off-the-shelf RFID tag and an RFID 749 reader has been utilized as a WuRx and WuTx, respectively. 750 Fig. 10 illustrates a simple architecture for utilizing RFID 751 technology for WuR systems.

An off-the-shelf active RFID tag based WuRx is simulated  $_{753}$  in [90]. RFIDImpulse uses an RFID reader as a WuTx to  $_{754}$  trigger an RFID tag that is attached to a remote sensor node at  $_{755}$  an operational distance of up to 30 m while consuming 80  $\mu\rm W$   $_{756}$  of power. However, this receiver does not utilize addressing  $_{757}$  to selectively wake up a sensor node.

TABLE III WUR CATEGORIZATION BASED ON FREQUENCY USAGE

Band	Reference No.
sub-GHz	[85], [37], [33], [67], [50], [74], [51], [52],
	[53], <b>[54]</b> , [55], <b>[40]</b> [70], [81], [64], [78],
	[63], <b>[57]</b> , <b>[80]</b>
2.4 GHz	[88], [61], [65], [90], [68], [73], [75], [39], [89],
	[77], [56], [82], [83], [84], <b>[80</b> ]
5 GHz	[79]
60 GHz	[69], [72]
Others	<b>[58]</b> , <b>[71]</b> , [86], [87], [59], [60], [62]

2) Operating Frequency: Another layer of complexity is 760 added when considering the transmission frequency of the WuR. Further, if the WuR and the main data transceiver 762 are using different frequencies, each requires a separate 763 antenna for signal detection and separate matching networks. Moreover, the choice of the operating frequency for WuRx critical as it determines the size of the antenna and the operational range of the system as a whole.

The sub-GHz WuRx presented by Spenza et al. [54] consumes 1.276  $\mu$ W in listening mode. The receiver uses OOK 769 modulation and is made of four main building blocks: a match-770 ing network, an envelope detector followed by a comparator and a preamble detector. At the receiver end, the output from 772 the preamble detector is used to interrupt an on-board 8-bit 773 PIC12LF1552 MCU that performs address matching and trig-774 gers the main sensor node when a valid wake-up address is 775 received. This sub-GHz WuRx provides high sensitivity and 776 data rate of -55 dBm and 100 kbps respectively, while achiev-777 ing the maximum wake-up range of 45 m. This design is <sub>778</sub> further improved by Magno *et al.* [40], which achieves power consumption in listening mode of 0.152  $\mu$ W at 32 dBm sensi-780 tivity and 1.196  $\mu$ W for the -55 dBm version. This particular WuRx has achieved an interesting communication range of up 50 m and offers data rate of 10 kbps. 782 to

Multi-band WuRs have also been exploited to increase 784 the flexibility and to allow interoperability between differ-785 ent frequencies used in WSNs. Robert et al. [57] propose 786 an ultra-low power WuRx for indoor/outdoor asset tracking systems that consumes only 5  $\mu$ W. Authors have developed a 788 tag module that contains a transmitter and two WuRxs inte-789 grated in one module. The 434 MHz WuRx is intended for 790 indoor localization, and the 868 MHz WuRx and transmitter 791 are used for the data exchange with the gateways for outdoor 792 localization. The WuRx continuously scans the channel for 793 any predefined wake-up sequences. As soon as the received 794 sequences matches to the reference sequence, a digital control 795 signal is generated immediately to trigger the sensor node. 796 In addition, the proposed WuRx also provides a received sig-797 nal strength indicator (RSSI) value of the received WuS with bits quantization. A similar prototype for asset tracking 799 applications has also been reported in [80]. The Fraunhofer WakeUp-Receiver [80], which is based on 130-nm CMOS 801 technology, operates in the 868 MHz and 2.4 GHz frequency 802 bands and features -80 dBm sensitivity with 16-bit selective

TABLE IV WUR FEATURING ADDRESS DECODING

Technique	Reference No.
MCU	[58], <b>[33]</b> , [52], [54], <b>[55]</b> , [40], [73]
Correlator	[67], [74], [78], [88], [68], [82], [76], [89]
AS3930	[37], [50], [51], [53], [87], [56]
Others	[70], <b>[63</b> ], [81], [39]

wake-up ID. At a data rate of 1 kbps this prototype consumes 803 7.5  $\mu$ W of power with response time of 30.3 ms. However, 804 no detailed operational communication range tests or complete 805 WuR system design is provided.

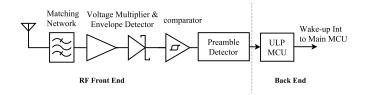
To achieve relatively high date rates, a WuRx operating in 807 millimeter-wave band (60 GHz) for short-range applications 808 is proposed in [69]. This duty cycled WuR consists of a 4- 809 path phase array transmitter and a 4-path receiver. By applying 810 OOK modulation for switching the biasing of power ampli- 811 fiers a 1 Gbps data rate is attained. The WuRx side is built of 812 an injection-locking ring oscillator (ILRO), a frequency mixer 813 and a low pass filter. The performance of this receiver is eval-814 uated in simulations and has achieved a power consumption 815 of 230  $\mu$ W with sensitivity of -62 dBm ranging up to 0.2 m. 816 Instead, Wada et al. [72] presented a first successful WuRx 817 prototype operating at 60 GHz. To achieve low power con- 818 sumption, a power reduction circuit has been implemented 819 that turns off the injection locking oscillator when there is 820 no WuS detected. The fabricated WuRx has a high sensitivity 821 of -68 dBm for a 350 kbps OOK WuS while consuming only 822 9  $\mu \overline{W}$  from a 1.5 V supply. Another WuRx that operates at 823 5.8 GHz has been reported in [79] but has lower sensitivity 824 of -44 dBm. Note that for the latter two designs, the authors 825 have not published any operational distance.

There are also few WuR designs for WBAN applications 827 that use very low frequency for communication. One of the 828 advantages of operating at lower frequency bands is that it 829 enables lower signal attenuation and interference than the tra-830 ditional operating bands such as 2.4 GHz. Cho et al. [71] 831 proposed the WuRx prototype targeting WBAN applications 832 while operating at 45 MHz. The proposed WuRx uses ILRO 833 instead of RF amplifier to reduce power consumption. The 834 WuS is modulated using Frequency Shift Keying (FSK) and 835 is demodulated by a low power Phase Locked Loop (PLL) 836 demodulator. This prototype features a receiver sensitivity of 837 -62.7 dBm with data rate of 200 kbps while consuming as low 838 as 37.5  $\mu$ W from a 0.7 V supply in an active mode.

826

Recently, Petäjäjärvi et al. [58] proposed a 28 MHz 840 always on WuR design based on super-regenerative princi- 841 ple for human body communications. To achieve low energy 842 consumption and high sensitivity, the WuR uses loose syn- 843 chronization and employs self-quenching while operating at 844 1.25 kbps. With real-life experiments the proposed designed 845 consumes 40 µW and achieved receiver sensitivity of -97 dBm. 846

3) Address Decoding: Next, adding node address decoding 847 capability to the WuRx requires additional components at the 848 RF back-end. Usually, a low power micro-controller (MCU) 849



Wake-up receiver employing an ultra-low power MCU for address decoding and interrupt generation.

850 or correlator is employed for decoding. However, this comes with some trade-offs, highlighted in this section. 851

Some WuR designs use a secondary, dedicated low-power 852 853 micro-controller to decode the address code. An example is 854 shown in Fig. 8, illustrating the integration of low power 855 MCUs with WuR prototypes. As will be discussed later, this 856 extra hardware contributes to energy overhead when used for 857 address decoding.

Using a separate MCU for address decoding and 858 859 interference filtering is reported in [33]. In this prototype, 860 authors have integrated a PIC12F683 MCU to detect and decode a WuS after signal rectification and amplification, 862 and notify the more powerful AT-mega128L processor of the main node through an interrupt. Due to intervention of this extra PIC12F683 MCU, the overall power consumption of the WuRx increases from 171  $\mu$ W in listening mode to 819  $\mu$ W 866 at 3 V when used for address decoding. The proposed proto-867 type was only able to communicate up to 2 m with receiver 868 sensitivity of -51 dBm at data rate of 0.86 kbps using OOK 869 modulation. Another prototype with similar communication 870 range is presented by Bdiri and Derbel [55], but has lower power consumption of 0.69  $\mu$ W operating in 868 MHz band. 872 Authors have also compared two different WuS decoding tech-873 niques, one with MCU and the other using AS3932 (a detailed discussion of the AS323X series will follow at the end of this subsection). The results indicate that using AS3932 for address 876 decoding leads to an additional power consumption of 3.9  $\mu$ W 877 than the MCU.

Other designs that exploit MCU for address decoding while achieving power consumption below 15  $\mu\mathrm{W}$  can be found 880 in [52] and [73]. However, these designs do not provide any detail on operational distance that can be achieved with these 881 882

Instead of using MCUs for address decoding, an energy 884 efficient way is to use correlator circuit for address match-885 ing. In the correlator circuit, the node address is stored in 886 the reference signal buffer and the input bits from the WuS 887 are correlated against the reference signal. When a new bit is 888 available, all the samples are shifted one position in the cor-889 relator and are compared to the pre-stored one. If the stored 890 and the incoming bits are a match, the wake-up interrupt pin is asserted. Fig. 9 depicts a simple "matched filter" based parallel 892 correlator concept used to decode address in a WuS.

Von der Mark and Boeck [88] simulated one of the first 894 correlator based approaches for decoding node address in a WuRx system and features sensitivity of -50 dBm. The wake-896 up circuit is composed of a 2.4 GHz matching network, an 897 envelope detector, and low noise amplifier. The output signal

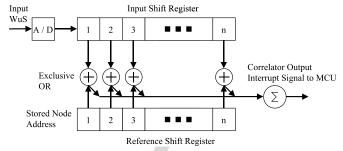


Fig. 9. Node address comparison using "matched filter" correlation detector.

from the amplifier is then fed into the correlator circuit to 898 compare the signal to a predefined sequence. However, no 899 values have been reported for power consumption, data rate 900 or WuRx communication range.

Hambeck et al. [67] presented a complete prototype of 902 WuRx employing a 64-bit mixed signal correlator for address 903 matching. At 868 MHz, the design features a receiver sen- 904 sitivity of -71 dBm and an outstanding measured free-space 905 radio link distance of up to 304 m at transmission power of 906 6.4 dBm. At this conditions, the WuRx dissipates only 2.4  $\mu$ W 907 at supply voltage of 1 V.

Milosiu et al. [74] presented a 31-bit correlator based WuRx 909 with scalable data rate and -83 dBm sensitivity. The proto- 910 type is fabricated in a 130-nm CMOS technology and requires 911 4.75  $\mu$ W from a 2.5 V supply at a data rate of 128 bps. 912 Compared to the other WuRx prototypes found so far in the 913 literature, the proposed receiver has obtained the longest line- 914 of-sight communication range of 1200 m for a transmit power 915 of 10 mW. Recently, authors have also proposed a 2.4 GHz 916 version of the OOK WuRx that obtains a power consumption 917 of 7.25  $\mu$ W with reaction time of 30 ms. However, no details 918 on the receiver range is provided. Other low power designs 919 have also been reported in [68] obtaining power consumption 920 below 3  $\mu$ W.

There are many proposals in the literature authors have also resorted to a commercially avail- 923 WuRx chip for address decoding into their 924 prototypes [36], [37], [51], [53], [55], [87]. The AS393X 925 series from Austria Microsystems [91] is a 3D low-power 926 low-frequency Amplitude Shift Keying (ASK) WuRx capable 927 of generating a wake-up interrupt upon detection of signal at 928 a carrier frequency between 15-150 kHz. The AS393X also 929 allows duty cycling the WuRx in order to save energy and 930 includes an integrated correlator to implement a 16 bit or 931 32 bit wake-up address decoding scheme. This WuRx has 932 maximum sensitivity of -69 dBm with current consumption 933 varying from 1.7  $\mu$ A up to 12  $\mu$ A at 3 V power supply. With 934 these characteristics, the AS393X has average performance 935 compared to other experimental WuR prototypes found in the 936 literature.

Sutton et al. [37] presented the first practical application 938 of WuRx that can be used both for initiating the communi- 939 cation and as a full data radio. The OOK WuR transceiver 940 is designed using the off-the-shelf components and leverages 941 AS3930 ASK receiver for address decoding. The CC110L 942

TABLE V VARIOUS WUS MODULATION TECHNIQUES

Modulation	Reference No.
OOK	[86], [85], [59], [60], [62], [61], [75], [77],
	[83], [69], [72], [66], [70], [37], [50], [51],
	[53], [87], [56], [74], [88], [68], [82], [76],
	[89], [39], [58], [33], [54], [55], <b>[40]</b> , [73],
	[81], <b>[84]</b> , [67]
ASK	[90], [79], [63], [52]
FSK	[71], <b>[64]</b> , [78], [84]
Others	[65]

943 transceiver is used as a WuTx and shares the same antenna 944 with the WuRx module. The OOK receiver is able to receive 945 a 16-bit data packet at a maximum data rate of 8.192 kbps, and features an ultra-low power consumption of 8.1  $\mu W$  mea-947 sured at 3 V. The OOK receiver sensitivity is approximately 948 -52 dBm and achieves a 30 m line-of-sight communication 949 range in an outdoor field.

Oller et al. [53] proposed WuRx incorporating AS3933 951 for IEEE802.11-enabled wireless access points. This proto-952 type features a WuRx sensitivity of -52 dBm and the total power consumed by the design is 10.8  $\mu$ W in sleep mode <sub>954</sub> and 24  $\mu$ W in an active mode with address decoding. Similar 955 wake-up range of up to 40 m has been observed making these 956 prototypes suitable for implementation that require long range 957 communication with minimum power consumption without 958 relying on MCU for address decoding.

Microsemi based ZL70103 [92] is another off-the-shelf 960 transceiver chip that incorporates a WuRx designed for implantable medical devices. The out-of-band WuRx operates 962 at 2.45 GHz with an average current consumption of 290 nA while sniffing the channel once a second. It allows to initi-964 ate the communication between the implanted device and the base station transceiver using specially coded WuS from the 966 2.45 GHz base station. So far, none of the prototypes presented 967 in this survey use ZL70103, however it is an interesting option 968 for BAN applications.

Other address decoding techniques using Bloom filters [63], 970 shift registers [81], flip-flops, and filter banks [39] have also 971 been exploited. Takiguchi et al. [63] have simulated a Bloom 972 filter based wakeup mechanism for WuRxs. A node identifier-973 matching mechanism uses Bloom filter implemented with a 974 simple circuit that only uses an AND circuit. For a bit rate 975 of 40 kbps, the listening power consumption of the receiver is 12.4  $\mu$ W and in an active state the circuit consumes 368.1  $\mu$ W 977 from a 1.8 V supply.

4) WuS Modulation Technique: Circuit complexity and 979 reproducibility are the key factors that allow designers to tune 980 and simplify WuRs enabling faster prototyping. Nevertheless, 981 this is dependent on the modulation technique used for WuS 982 transmission, the architecture of RF front- and back-end, and 983 the choice of frequency. To meet the requirement of ultra-984 low power consumption, various modulation schemes such 985 as on/off keying (OOK), Amplitude shift keying (ASK), or 986 Frequency shift keying (FSK) have been exploited for the wake 987 up signals.

TABLE VI TECHNOLOGY UTILIZED FOR PASSIVE WUR

Technology	Reference No.
Discrete	[93]
CMOS	[94], <b>[95</b> ], [96], <b>[97</b> ], <b>[98</b> ], [99]
RFID	[100], [101], [102]

As seen from Table V, most of the WuR designs have mod- 988 ulated RF signal using OOK before reception by the wake-up 989 receiver. In OOK modulation scheme the signal information is 990 delivered using '1's or '0's. The source node transmits a large 991 amplitude carrier when it wants to send a '1' and nothing is 992 send for '0', i.e., the transmitter is turned off. Thus, allowing 993 systems to save on transmit power when (not) sending '0's. 994 On the receiver side this signal is sensed by the rising edge 995 of the digital signal from low to high indicating that a valid 996 signal has been received via the antenna. This has enabled 997 OOK hardware implementations to be relatively straight- 998 forward due to their low implementation cost for battery- 999 operated applications. Usually, few discrete components are 1000 enough to construct OOK signal detection circuitry as out- 1001 lined in [38] and [54]. The super-generative [71], [72], tuned 1002 RF [40], [53], [59], or uncertain-IF architectures [75], [89] 1003 have been popular solutions to demodulate an OOK signal. 1004 In [40], the WuRx consumed 1.2  $\mu$ W and achieved a sensi- 1005 tivity of -55 dBm at a data rate of 10 kbps to demodulate a 1006 868 MHz OOK signal.

ASK is another popular modulation technique used by WuR 1008 hardware designers. Similar to OOK, the information in ASK 1009 is also transmitted using '1's or '0's. However, instead of keep- 1010 ing the transmitter off when indicating bit '0', it transmits 1011 small amplitude carrier in its simplest form.

For FSK demodulation, WuRxs are based on frequency 1013 discrimination architecture. In [64], the WuRx consumes 1014  $2700 \ \mu\text{W}$  to demodulate a 0.915 MHz FSK signal. The overall 1015 receiver sensitivity is -89 dBm at a data rate of 45 kbps.

Most of the designs surveyed in this paper are compati- 1017 ble with only one modulation technique. Therefore, to make a 1018 WuRx compatible with other types of signals, Taris et al. [84] 1019 proposed a first dual modulation based WuRx. This proof of 1020 concept features an LC oscillator coupled with an envelope 1021 detector implemented in a 65 nm CMOS technology. The 1022 circuit consumes 120 µW, and properly demodulates OOK 1023 and FSK modulated signals at 2.4 GHz with data rate up to 1024 500 kbps.

Although, ASK offers better noise immunity compared to 1026 OOK at a lower cost than FSK, it has higher power consump- 1027 tion demand than OOK based WuRxs (refer to Table VIII and 1028 Fig. 13). 1029

#### B. Passive Wake-Up Radios

This section discusses prototypes that harvest and power 1031 the wake-up circuitry entirely from the RF signal. In this 1032 way, passive WuRxs have the advantage of not consuming 1033 any energy from the node battery making the design energy 1034 neutral.

The first proof-of-concept passive WuRx design operat-1037 ing at a frequency of 433 MHz was presented by Gu and 1038 Stankovic in 2005 [93]. The WuRx is powered using radio 1039 signals and is able to trigger a wake-up interrupt once enough 1040 energy has been harvested and stored on the capacitor. The 1041 proposed WuRx uses a charge pump approach consisting of 1042 capacitors and zero-bias Schottky diodes acting as a voltage 1043 multiplier and a radio trigger circuit. This WuRx also features the addressing capability by transmitting the WuS at different 1045 frequencies to activate the targeted node, reaching an operating 1046 range of around 3 m. The power consumption of the WuRx 1047 in idle mode (i.e., while harvesting energy from the WuS) is 145  $\mu$ W, and the design was only evaluated through SPICE 1049 circuit simulations.

Another battery-less WuRx operating at 900 MHz band was proposed in [95]. This passive CMOS chip consists of an RF 1052 front end and a digital baseband with non volatile memory. 1053 The radio block includes a voltage multiplier for rectifying and amplifying the RF energy, a voltage limiter, demodulator 1055 and modulator circuits, and a ring oscillator. Authors have 1056 designed the voltage multiplier by cascading 4-stage voltage 1057 doublers using Schottky diodes and capacitors. Using ASK 1058 modulation technique, the prototype achieved a sensitivity of -17 dBm with power consumption of 2.64  $\mu$ W. However, no 1060 details regarding the communication range and data rate are 1061 provided.

1050

Kamalinejad et al. [97] presented a passive 868 MHz WuRx 1063 front end that also harvests energy from the RF signal. The 1064 building blocks consist of an antenna, matching network, 1065 voltage multiplier and data slicer (comparator and the refer-1066 ence generator). An RF-to-DC converter is used to produce 1067 the envelope of the OOK WuS and converts the RF signal 1068 to a DC voltage that is used to power the data slicer cir-1069 cuitry. A fraction of this DC output is then compared with the 1070 generated reference to produce the wake-up interrupt signal. 1071 Using simulations, the proposed design exhibits a sensitivity 1072 of -33 dBm and 100 kbps data rate without any node address-1073 ing capability. In turn, Zgaren et al. [98] took the idea of Kamalinejad et al. [97] and have proposed a passive WuRx 1075 prototype for implantable devices operating in 902-925 MHz 1076 band. This prototype has a power dissipation of 0.2  $\mu$ W for a data rate of 100 kbps at -53 dBm sensitivity. However, the latter design is only evaluated using simulations. Other pas-1079 sive WuRxs that are based on CMOS technology can be found 1080 in [94], [96], and [99]

Ba et al. [102] proposed a passive RFID device called 1081 WISP-Mote by combining a Wireless Identification and 1083 Sensing Platform (WISP) to a Tmote Sky sensor node. WISP 1084 is powered wirelessly by an off-the-shelf UHF RFID reader 1085 to generate an external interrupt to a Tmote Sky, achieving 1086 communication range of up to 5 m. Upon successful activa-1087 tion, WISP transmits the sensor data using the main node's 2.4 GHz CC2420 transceiver. WISP supports both broadcast 1089 and ID-based wake-ups.

Passive RFID based systems usually have a communi-1091 cation range only up to few meters, thus making it diffi-1092 cult to implement a multi-hop sensor network. Therefore, 1093 to realize a multi-hop wake-up using RFID technology,

#### TABLE VII SEMI-ACTIVE WUR DESIGNS

Technology	Reference No.
Discrete	[ <b>36</b> ], [ <b>103</b> ], [ <b>104</b> ], [ <b>105</b> ], [ <b>38</b> ], [106],
	[107]
CMOS	[108], [109], [110], <b>[111]</b> , [112], [113],
	[114], <b>[115]</b> , <b>[116]</b> , [117], [118], <b>[32]</b>
RFID	[119]



Fig. 10. RFID-based Wake-up receiver prototype [102].

Chen et al. [100] proposed an enhanced version of WISP- 1094 Mote with energy harvesting capabilities called Multi-hop- 1095 Range EnhAnCing energy Harvester-Mote (MH-REACH- 1096 Mote). MH-REACH-Mote is equipped with both a WuTx 1097 and a passive WuRx. The WuRx side is same as WISP- 1098 Mote while UHF RFID reader has been used as the WuTx 1099 providing an option for an addressable wake-up with high 1100 transmission power. This prototype achieved the maximum 1101 wake-up range of 9.4 m when the WuS was transmitted for 1102 10s. Donno et al. [101] also proposed a passive WuRx pro- 1103 totype using commercial 868 MHz UHF RFID tag and RFID 1104 energy harvester for achieving long distances. Authors imple- 1105 mented a wake-up strategy called Enhanced Write Wake Up 1106 (E-WWU) that supports both broadcast communication and 1107 node addressing achieving a range of 22 m with transmis- 1108 sion power of 30 dBm. The WuRx side consumes 54  $\mu$ W for 1109 receiving and decoding the WuS.

From the above designs, it is evident that CMOS technology 1111 is more popular for implementing passive WuRxs due to its 1112 low power consumption. RFID has also been utilized since it 1113 already provides energy harvesting capabilities thus reducing 1114 the implementation time. 1115

## C. Semi-Active Wake-Up Radios

To operate in the nano-Watt power range, the majority of 1117 the proposed design approaches are semi-active, in which 1118 only a few components of the receiver front-end are battery 1119 powered while the rest of the components are fully passive. 1120 Using passive circuitry allows reducing the power consump- 1121 tion, but at the price of short communication range and reduced 1122 receiver sensitivities. For the radio front-end, the most com- 1123 mon approach is to implement an envelope detector using 1124 passive components such as Schottky diodes, MOSFETs or 1125 ICs followed by the active components such as correlators and 1126 comparators to generate an interrupt to the main MCU. Next, 1127 we present WuR prototypes that utilize such architecture.

Malinowski et al. [119] reported the first "quasi-passive 1129 wake-up" system utilizing RFID technology called CargoNet. 1130 CargoNet employs a 300 MHz RFID tag to trigger an ultra-low 1131 power MSP430 based sensor node. The WuS detector circuit 1132

1133 consists of an LC tank with an autotransformer for amplify-1134 ing the signal received at the antenna followed by an envelope 1135 detector and micro-power amplifier for voltage gain. After the 1136 main sensor node is activated, data is communicated using 2.4 GHz CC2500 transceiver. The proposed WuRx design 1138 consumes 2.8  $\mu$ W in listening mode. The average power consumption of CargoNet is 23.7  $\mu$ W when the node is active and 1140 receiving the data packet via the main transceiver. At maximum sensitivity of -65 dBm, the WuRx is able to detect an 1142 OOK modulated WuS up to a distance of 8 m.

Ansari et al. [38] presented a radio triggered wake-up cir-1144 cuit attached to a TelosB node and exploited its main MSP430 1145 MCU for address decoding. The WuTx uses an additional out-1146 of-band 868 MHz CC1000 transceiver for generating WuS 1147 using Pulse Interval Encoding (PIE) scheme and a frequency 1148 amplifier for communication range extension. The main buildings blocks include an impedance matching network, a voltage 1150 multiplier and a digital comparator interfaced to the main 1151 MCU. The matching network is constructed using discrete 1152 components such as capacitors and inductors while the 5-stage voltage multiplier uses RF Schottky diodes. The MCU tracks the low-to-high transitions and the time intervals between the 1155 PIE signal to successfully decode the data. In case the wake-1156 up packet is not addressed to the node, it switches back to the 1157 sleep mode. Otherwise, the node triggers its main CC2420 1158 transceiver for data exchange. The WuRx in listening mode 1159 consumes only 2.628  $\mu W$  and the micro-controller consumes 1020  $\mu$ W when it switches from sleep to active mode for 1161 address decoding. Empirical measurements using simulation shows that the proposed WuRx has an operating range of 10 m 1163 for the  $500\mu\mathrm{W}$  transmission power.

Le-Huy and Roy [32] also simulated a semi-active WuRx that uses correlator as a decoder. This work has become one 1166 of the reference designs for several newer proposals, since 1167 authors have outlined the complete steps from signal detection address comparison. The proposed architecture consists of a shared antenna between the WuRx and the main transceiver, 1170 impedance matching network and zero-bias Schottky diode based envelope detector. It is followed by an address decoder 1172 circuit that has three subsystems: the amplifier stage, the PWM 1173 demodulator and the correlator circuit consisting of shift reg-1174 ister and a logic comparator. The power consumption of the proposed architecture is 19  $\mu$ W at a data rate of 50kbps with 1176 receiver sensitivity of -53 dBm. Using Pulse Width Modulation 1177 scheme, the receiver exhibits a maximum range of 5 m for 1178 2.4 GHz band.

Ammar et al. [103] also proposed a semi-active 868 MHz 1179 WuRx that uses Flip Flops for address decoding and dissipates only 13.41  $\mu$ W. However, this design is only evaluated using simulations. Other simulated designs based on semi-active WuRxs can be found in [105], [109], [110], and [118]. 1183

Gamm et al. [36] proposed the first in-band sub-Carrier modulation WuRx system based on AS3932 (Fig. 11). In the wake-up mode the WuS is directed to the AS3932 WuRx 1187 for envelope and address decoding after impedance matching 1188 and demodulation of OOK signal. First, AS3932 extracts the 125 KHz signal from the 868 MHz WuS and then the original 1190 data is decoded for address comparison. Once the address is

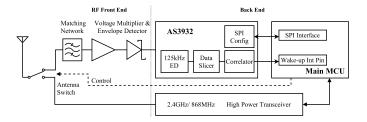


Fig. 11. Wake-up receiver prototype utilizing Austria Micro Systems AS393x WuRx [36].

matched, the main node is triggered. Afterwards, an antenna 1191 switch is utilized to bypass the WuRx and the data exchange 1192 takes place using the main CC1101 transceiver. The main radio 1193 is also utilized as a WuTx to generate the WuS, thus the first 1194 complete WuR transceiver. The WuRx circuitry is supplied 1195 with 3 V battery and has an active power consumption of 1196 7.8  $\mu$ W while the total node consumption is 44 mW. For an 1197 output power of 11 dBm at the WuTx, the maximum wake-up 1198 distance was 45 m at a data rate of 250 kbps and sensitivity 1199 level of -52 dBm. The design by Gamm et al. [36] has become 1200 the starting point for other AS393X based WuR systems such 1201 as the ones presented in [50], [53], and [56].

The most energy efficient semi-active WuRx proposed to- 1203 date is presented by Roberts and Wentzloff [111]. The energy 1204 is harvested from the RF signal and then the received voltage is 1205 boosted using resonant tank before supplied to the active part 1206 of the circuit. This 915 MHz band WuRx achieved a commu- 1207 nication range of 1.2 m at transmission power of 0 dBm. The 1208 whole CMOS based WuRx provides a data rate of 100 kbps 1209 using OOK modulation while consuming only 98 nW in active 1210 state. However, the WuRx does not support node addressing 1211 as per the implementation.

Yet another ultra-low power WuRx intended for WBAN is 1213 presented in [104]. The proposed design uses Gaussian On-1214 Off Keying (GOOK) and Pulse Width modulation (PWM) for 1215 decoding and encoding the preamble signal, respectively. This 1216 receiver has higher power consumption of 2.67  $\mu$ W than that 1217 proposed by Roberts and Wentzloff [111] in listening mode, 1218 but achieves a longer communication range of 10 m for WuTx 1219 output power of 10 dBm. The WuRx also operates in a sub- 1220 GHz frequency band (433 MHz) and has receiver sensitivity 1221 of -51 dBm. The address decoding is handled by the MCU 1222 and the authors have not provided any details of its related 1223 power consumption.

To increase the flexibility of WuR, multi-band WuRs have 1225 also been exploited to allow interoperability between differ- 1226 ent frequencies used in WSNs. Huang et al. [115] propose a 1227 radio-triggered WuRx able to operate selectively at 915 MHz 1228 and 2.4 GHz band. After input matching, an envelope detec- 1229 tor suppresses the fundamental tone to the required frequency 1230 followed by a baseband amplifier for filtering and amplifying 1231 the WuS. This WuRx consumes 51  $\mu$ W for 100 kbps OOK 1232 modulation featuring receiver sensitivity of -75 dBm in the 1233 915 MHz band and -64 dBm in 2.4 GHz band, respectively. 1234

Oh et al. [116] presented a tri-band 116 nW WuRx 1235 with 31-bit Correlator with interference rejection capabilities. 1236 The WuRx front end operates in the 402 MHz MICS band and the 915 MHz and 2.4 GHz ISM band with sensitivities of -45.5 dBm, -43.4 dBm and -43.2 dBm, respectively. The chip consists of an input matching network for filtering and boosting the incoming WuS and a 30-stage passive rectifier for down-converting the RF signal to baseband, which is then sensed by a comparator. Finally, a bank of 124 correlators is implemented to compare the wake-up sequences with a programmable wake-up code. The wake-up interrupt is generated only when a correlation value exceeds a user-programmable threshold.

Recently, another dual-band WuRx that operates in Recently, another dual-band WuRx that operates in Recently and 2.4 GHz band has been proposed in [105]. The WuRx front end consists of a dual-band antenna and matching network with a passive envelope detector. The back-recent consists of an interrupt/data generator and an ultra-low power micro-controller for address decoding and generating recent interrupt to the sensor node. The receiver is tuned to use received and results demonstrate that the proposed solution consumers of the propo

#### 1261 D. Non-RF Based WuRs

While RF based WuRs have been most widely researched, 1262 1263 some authors have proposed an unconventional method to 1264 communicate with the WuRx by exploiting different transmit-1265 ting mediums like optical or ultrasonic signals. For this reason 1266 it is quite inappropriate to call such devices WuR, but still 1267 some solutions are interesting and expose characteristics that 1268 are comparable with RF based WuRs discussed so far. In fact 1269 the communication range that could be achieved with these 1270 type of wake-up transceivers are similar to typical RF based WuRs while also exhibiting similar power demands. The two 1272 main drawbacks are that some of these devices require direc-1273 tionality and/or line-of-sight (LOS) communication between 1274 transmitter and receiver, making them inappropriate for some applications. The complete list of all the WuRs in this category 1276 is presented in Table IX.

Hakkinen and Vanhala [120] proposed one of the earli-1278 est designs where infrared is utilized to transmit WuS. The 1279 WuTx is basically an IR LED that is switched on and off by 1280 the micro-controller. On the WuRx side, a photo-detector is 1281 used for receiving the signal and a transimpedence amplifier 1282 converts this signal into voltage to generate an interrupt. It 1283 achieves operational range of up to 30 m with an IR remote 1284 controller by matching its carrier frequency with the WuRx. 1285 The prototype consumes 12  $\mu$ W when listening for the WuS 1286 at a supply of 3 V. Unfortunately, the wake-up circuit is very 1287 sensitive to external light and is vulnerable to noise while 1288 requiring direct LOS between nodes.

The proposal by Mathews *et al.* [48] utilizes Free Space Optical (FSO) as a secondary wake-up channel. The power consumption of the proposed FSO WuRx is 317  $\mu$ W in listening mode and attains a LOS range of 15 m at a transmission

power of 16.5 mW. Due to low gain bandwidth of the oper- 1293 ational amplifiers, the system suffers from low data rate of 1294 2 kbps. Optical based designs implicitly feature node address- 1295 ing through directional communication, however, it is not clear 1296 how this design would perform when the nodes are not per- 1297 feetly aligned and how to communicate with multiple nodes, 1298 if required.

Another optical based WuRx is presented in [49] called 1300 Free-space Low-Power optical Wake-up and has an ultra low 1301 power of only 695 pW in standby mode and 12.2 nW in 1302 active mode. The WuR supports three different light sources 1303 for extending communication range. Using 0.5 W LED the 1304 wake-up range is 0.2 m, 6 m with 3 W LED with focus and 1305 extends to 50 m when a 3 mW green laser is utilized as WuTx. 1306 In contrast to [48], FLOW features a 16-bit node addressing 1307 capability. However, similar to [48], the WuR system requires 1308 direct LOS for transmitting WuS and supports very low bit 1309 rate of 91 bps. Moreover, to achieve long range communica- 1310 tion, proper physical alignment between the optical WuTx and 1311 WuRx is also required.

Sánchez *et al.* [47] have presented an asynchronous  $^{1313}$  acoustic-triggered wake-up modem for underwater sensor  $^{1314}$  networks. Using this technique, the WuRx is programmed to  $^{1315}$  react to acoustic signals at a certain frequency, reactivating  $^{1316}$  the node if needed. The WuRx consumption is  $^{10}$   $\mu$ W in lis-  $^{1317}$  tening mode. The authors have also integrated AS3933 for  $^{1318}$  16-bit node address recognition. With a transmission power  $^{1319}$  of  $^{108}$  mW, an underwater communication range of  $^{240}$  m  $^{1320}$  has been achieved.

An ultrasonic WuRx working at 40.6 kHz is proposed 1322 in [44]. It uses piezoelectric transducer that converts the 1323 mechanical energy into electrical energy for generating wake- 1324 up interrupts. The design is based on heterodyne architecture 1325 and the overall receiver power consumption is 4.8  $\mu$ W in lis- 1326 tening mode. When exciting the transmitter with an electrical 1327 signal power of 16  $\mu$ W, it achieved an operational range of 1328 8.6 m. However, the WuRx has very low bit rate of 250 bps. 1329 Another prototype using ultrasonic signals is presented by 1330 Lattanzi et al. [45]. Unlike [44], this design supports out- 1331 of-band addressing scheme for selective awakening. It uses 1332 off-the-shelf components and requires 1.748 μW in listening 1333 state and around 14  $\mu$ W when active. This design is suitable 1334 for ranging applications that require distance up to 10 m. The 1335 WuTx takes 0.5s to transmit an 8-bit address and requires 1336 75  $\mu$ W of power at bit rate of 16 bps.

The design by Hoflinger *et al.* [46] presents an acous-  $^{1338}$  tic WuRx operating at 18 kHz for controlling devices and  $^{1339}$  appliances at home. The audio signal is sent using a smart-  $^{1340}$  phone speaker and a micro-electromechanical system (MEMS)  $^{1341}$  microphone is used to detect the audio signal on the WuRx.  $^{1342}$  The microphone transducer converts this acoustic signal into  $^{1343}$  an electrical signal, which is then fed into AS3933 WuRx IC  $^{1344}$  that detects a valid frequency of 18 kHz and triggers the micro-  $^{1345}$  controller. A wake-up range of 7.5 m was achieved using this  $^{1346}$  setup. The WuRx consumes 56  $\mu$ W in listening mode while  $^{1347}$  the consumption hikes to 440  $\mu$ W in active state when receiv-  $^{1348}$  ing the signal using PWM modulation. This design was further  $^{1349}$  improved in [121], which operates at 20 kHz audio signals and  $^{1350}$ 

features node addressing. To reduce the power consumption compared to [46], the power amplifier and the microphone are duty cycled using the micro-controller. Using this technique, the proposed design attains a power consumption of 45  $\mu$ W in listening mode and 420  $\mu$ W in active mode. An average wake-up range of 10 m using smart-phone as a sender was achieved.

Recently, Carrascal et al. [122] have developed a visible 1358 1359 light communication (VLC) based WuR system. This system 1360 uses an off-the-shelf indoor solar panel as a receptor and 1361 energy harvester to power the WuRx. The WuRx is also cou-1362 pled with AS3933. At the transmitter side, a 10 W LED is 1363 modulated using OOK at a frequency of 21 kHz to transmit 1364 WuS. In an indoor environment, with short bit duration the 1365 prototype achieved 7 m range while with longer bit duration 1366 maximum achievable range was 14 m. This VLC based WuR 1367 consumes 19.2  $\mu$ W in listening mode and  $\sim$ 95  $\mu$ W when 1368 receiving and decoding the WuS. The transmission power 1369 required to achieve the above range was 87.9 mW at a data 1370 rate of 1.12 kbps. The proposed system is suitable for indoor applications only and allows to harvest energy from the indoor 1372 lights for energy-autonomous operation of the WuRx.

#### 1373 E. Summary

In Section III, we considered different physical layer characteristics of wake-up receivers, each designed and tested in separate ways. We next discuss some of the advantages, disadvantages, and features for each category.

From the application point of view, RFID-based WuR 1379 systems are suited for mid-range applications such as health 1380 monitoring, inventory monitoring, or environmental applica-1381 tions [102]. Nonetheless, the maximum communication range 1382 achieved so far has been 30 m using an active RFID tag [90]. As active RFID tags are costly and require more power, such WuR designs may not be suitable for applications that require 1385 extended lifetime with minimum maintenance. Moreover, the 1386 communication range of RFID devices are related to antennae size: the bigger the antenna the more power can be transmit-1388 ted thus the longer the range. For WuR based applications 1389 that demand small form factor, this could be a hindrance and 1390 may force designers to opt for other technologies such as system-on-chip, which may be suitable for a wide range of 1392 applications. In addition, for passive RFIDs and EH-WuRxs 1393 not all energy is absorbed by the receiving end resulting 1394 in a phenomenon known as backscattering. Thus, WuS are 1395 transmitted at high power and usually take a few seconds 1396 to accumulate and recharge the capacitors for powering up 1397 the circuits. This, in turn, affects the wake-up range and the 1398 latency of the system as a whole.

Most active WuRs use CMOS technology and a heterodyne approach. While these heterodyne-based WuRs offer superior sensitivity and data rate, most lack node addressing capabilities and information on their operational range. This category of WuR also features the highest power consumption of up to a few milliwatts [87], [89] as the heterodyne approach requires some active components such as IF-amplifiers and mixers. It has also been noticed that some of these designs operate in lower non-ISM bands such as 45 MHz [71] or 1.9 GHz [60]

making them inadequate for medical applications. By con- 1408 trast, lower operation frequency may enable the design of 1408 transceivers that consume less power than transceivers in 1410 higher frequencies. Moreover, it enhances security compared 1411 to traditional wireless technologies for WBAN by making the 1412 radio signal more difficult to eavesdrop.

It has also been observed that the use of a secondary MCU  $_{1414}$  for address decoding allows faster prototyping at the receiver  $_{1415}$  back-end. On the other hand, the introduction of this extra  $_{1416}$  hardware adds to the overall power overhead and may not be  $_{1417}$  applicable for applications that have strict power requirements.  $_{1418}$  However, due to advancements in miniaturization, the power  $_{1419}$  consumption of these MCUs has drastically reduced over the  $_{1420}$  years making it possible to integrate with WuRx while still  $_{1421}$  achieving power consumption below  $_{10}$   $_{\mu}$ W.

The choice of modulation scheme also affects the overall 1423 WuRx performance. If a complex modulation technique like 1424 FSK is utilized, this demands complex circuitry at the RF 1425 front-end such as the use of active demodulators, mixers, and 1426 amplifiers that require extra power. Therefore, simple modula- 1427 tion techniques such as OOK and ASK presents an opportunity 1428 to simplify the WuRx circuitry and to achieve low power con- 1429 sumption. Most of the WuRxs reported are compatible with 1430 only one of these two modulations. As a consequence, the 1431 WuRx architecture implemented in wireless nodes can limit 1432 the interoperability with other transmitters.

#### V. STATISTICAL ANALYSIS

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Different components of the WuR design impact its final 1435 performance and add to its overall power consumption. In 1436 this section, we compare different RF based WuR prototypes 1437 designed and tested in terms of power consumption, sensitivity, 1438 data rate, communication range and the modulation scheme 1439 used, regardless of their specific technology. The numbers 1440 presented in this section are the actual numbers reported by 1441 the authors of each article. This statistical comparison will 1442 then be used as a *guideline* to recommend which prototypes 1443 are suitable and meet the requirements of various applications 1444 outlined in Section VIII.

## A. Modulation Schemes

The main goal of incorporating WuR with typical sensor 1447 node is to reduce power consumption. In order to achieve this, 1448 the WuR design should be of low power, hence, the modulation 1449 complexity should be kept low as well. The higher the modu- 1450 lation complexity, the more stringent requirements for receiver 1451 and transmitter in terms of circuit complexity and power.

When comparing this with the state-of-the-art low power 1453 WuR summarized in Table VIII, it can be noted that most 1454 designs use either envelope detector based On-Off key- 1455 ing (OOK) or non-coherent Frequency-Shift-Keying (FSK). To 1456 curb energy consumption by simplifying overall implementa- 1457 tion, the designers of the WuR generally favor architectures 1458 utilizing OOK modulation schemes. For instance, a sim- 1459 ple envelope detector using few diodes and capacitors can 1460 be used for signal detection [40], [54], [104]. It is evident 1461 from Table VIII that most of the concepts that have power 1462 consumption below  $10\mu W$  are using OOK modulation.

TABLE VIII
RADIO FREQUENCY BASED WAKE-UP RADIO PROTOTYPES

Implement	Simulation	Simulation	Prototype	Prototype	Simulation	Drototyme	Prototype	Simulation	Prototype	Prototype	Prototype	Simulation	Prototype	Prototype	Prototype	Simulation	Prototype	Prototype Destating	1 rototype Prototype	Prototyne	Simulation	Prototype	Prototype	Simulation	Prototype	Prototype	Prototype	Prototype	Simulation	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Simulation	Prototype	Prototype	Simulation	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Prototype	Simulation	Simulation	Prototype	Prototype	Simulation	Prototype	Prototype	Simulation	Simulation	Prototype	Prototype	Simulation	Prototype	Simulation	Prototype	Prototype	Simulation	Prototype	Ollilulation
Pwr [µW]	380	1 (	65	2.8	1 1	50	819	368,1	1.35	2.628	12.5	19	2700	7.8	415	08.5	25	5.04	2. c	i '	230	0.27	0.098	1000	1100	2.67	37.5	6	5	4.75	0.116	24.9	1008	10.8	2.3	1	1620	26.4	1.276	69.0	8250	63.98	20	45.5	0.5	24	36	54	7.5	22.9	5.5	. 0	1.27	1	8.1	66	13.41	4.5	120	0.0	1	3 277 5	2247.3	28.7	0.236	1.36	40	352	00 0	63	0.0110
R [m]	10	m	1 1	∞			2	9	1	10		2	0 9	40	1 8	30			304		0.0	10	1.2	1	1	13.5	,		1	1200						Ö	120	41	45	2.5		,	1		1 .	40	. (	22	1 5	10		. (		1	30		-				' 0	4.6	7 G	ς.		1	ı	r		e	
Sens [dBm]	-75	1 (	-50	-65	05-	C/- C/-	51-	-36,9	-28	1	-57	-53	68-	-52	78-	S	40 -	-1/	- [-	-82	-62	-51	-41	-92	-73	-45	-62.7	89-	1	-83	-43.2	1	09-	-35	-47	-80	-83	-53	-55	ı	44-	1	88-	-87	-33	-52	-44	1.	08-	-78.5	-80	-53	-53	-23	-52	-67	-54	-50	-36	- 6	25-	08-	9 5	CC-	-56.5	-58.5	-67	-55	-55	-35	-00
D.R [kbps]	100	1 5	40	ı	1 0	901	0.862	40	1	0.75	100	20	45	250	200	720	100	- 000	002 02	100	1000000	5.5	100	250	200	_	200	350	250	0.128	12.5	125	100	9.6	200	1pkt/min	250	2.7	100	ı	ı	ı	250	20	100	0.0	0.014	1 -	-	200		001		-	8.192	10	100	100	<u>05</u>	0.5	971		_⊆	250	8.192	10	1.25	50	20	1 -	1
Freq [GHz]	1.9	0.433	1.9	0.3	2.4	4.2	0.868	0.95	2.4	898.0	2.4	2.4	0.915	0.868	2.4	2.4	0.915/2.4	6.0	8980	2.4	9	0.433	0.915	2.4	6.0	0.868	0.045	09	2.4	0.868	0.402/0.915/2.4	0.868	2.4	0.86	2.4	6.0	2.4	0.868	898.0	0.868	2.4	i	2.4	0.924	0.868	2.4	2.8	0.868	0.868/2.4	6.0	2.4	0.453/0.606	0.868/2.4	2.4	0.434	2.4	0.868	2.4	2.4	- 0	0.433	6.0	898 0	2.4	2.4	0.315	0.028	402-405	408	898	UNDO
S.V [V]	-	1 0	0.5	m		8.1		8.1	1.5	С	1.5	-	1.5	m (	1.2	ν-	- 8	6.0 -	1 :	1	1.2	1.5	1.2	8.0	1.8	2	0.7	1.5	1.2	2.5	1.2	3	1.8	3	-	ı	1.2	8	1.8	3	2.5	6.0	0.75	0.7	1 1	e j	3.6	1.8	2.5	- ;	2.5	12	8		3	0.5	0.9	8.0	9.0	ı	ı	· c	7 <del>-</del>	o ∞	1:0	П	1.5	0.45	1.2	1 6	0.0
Tech	CMOS	Discrete	CMOS	RFID	BICMUS	CMOS	Discrete	CMOS	CMOS	Discrete	CMOS	CMOS	CMOS	Discrete	CMOS	KFID 3.55	CMOS	CMOS	CMOS	CMOS	CMOS	Discrete	CMOS	CMOS	CMOS	Discrete	CMOS	CMOS	CMOS	CMOS	CMOS	Discrete	CMOS	Discrete	CMOS	RFID	Discrete	Discrete	Discrete	Discrete	<b>BICMOS</b>	CMOS	CMOS	CMOS	CMOS	Discrete	CMOS	RFID	CMOS	CMOS	CMOS	CMOS	Discrete	CMOS	Discrete	CMOS	Discrete	CMOS	CMOS	CMOS	Discrete	KFID	Discrete	CMOS	CMOS	CMOS	Discrete	CMOS	CMOS	Discrete	CIVICO
A.D	. !	MF	ı	. (	ن		MCI	BF	1	MCU	MCU	C	. 9	AS	ı	1		ı	ι (	) C		MCU	1	IB	IB	AS	1		MCU	C	C	AS		MCU	С	MCU	EB	AS	MCU	MCU	COM	С	1	С	1	AS	. !	MCU	. 6	SK.	ن		MCU	1	AS		臣				- 0 4	SA.	MCT.	) (	ט ט	) 1	ı	ı		MCU	
RF Front End	LNA	PD, ED, VM, LNA	ED, LNA,	ED, LNA, VM	ED, LNA,	ED WIF	LNA	ED	ED, VM	ED, VM	ED, LNA,	ED, LNA	zero-IF, LNA	ED	M-IF	RFID Tag	ED, LINA	ED, VM, ILKU	ED PP	ED LNA	II.RO	ED, LNA, PD	ED, LNA	LNA, M-IF	ED, LNA, PD	ED, VM, LNA	LNA, ILRO	ED, BB,ILRO	ED, PD	LNA, H	ED, VM	ED, LNA, VM	ED, LNA, M-IF, H	ED, VM	ED, BB	RFID Tag	ED, LNA	ED	ED, PD, VM	ED, VM	ED, LNA, M-IF, VM	ED, LNA	ED, M-IF, BB	ED, M-IF, LNA	ED, LNA, VM	BD	ED, BB, LNA, M-IF	UHF RFID Tag	-	ED, LNA, M-IF	ED, LNA, H	ED I NA	ED. VM	ED, VM	ED, PD, VM	ED, LNA, M-IF	ED, VM, LNA	ED, BB, COM	ED, BPF, M-IF, BB	ED, VM	ED, VM, LNA, PD, COM	KriD lag	VM FD PD I NA COM	PD.	ED. LNA. COM	LNA, BPF, BB, COM	ED	ED, LPF, LNA, M-IF	ED, LNA, ILRO, M-IF, CC	ED, VM, PD, LNA, COM	ED, LIVA, DD, COM
Signal Detection	ANT, MN		ANT, MN, BAW	ANT, MN	ANI, MN	MIN ANT MN BAW	ANT, MN	ANT. MN	ANT, MN	ANT, MN	ANT, MN	ANT, MN	ANT	ANT,MN	ANI, MN	To a maria .	ANI,MN	ANTAN	ANT CAW	ANT MN	ANT	ANT.MN	ANT, MN	ANT, SAW	ANT, MN	ANT, MN	ANT	ANT, MN	ANT,MN	ANT,MN	ANT,MN	ANT, MN	ANT	ANT, MN	ANT, MN		ANT. MN	ANT, MN	ANT, MN	ANT, MN	ANT, MN	ANT, MN	ANT,MN	ANT, MN	ANT, MN	ANT, MN	ANT	ANT, MN	ANT, MN	ANT, MN, SAW	ANI, MIN	ANT MN	ANT. MN	ANT, MN	ANT, MN	ANT, MN	ANT, MN	ANT, MN	ANI, MN	ANT, MIN	AIN I, IMIN	ANT MAN	ANT, MIN	ANT MN	ANT. MN	ANT, MN	ANT, MN	ANT, MN	ANT, MN	ANT, MN	ZUNT, Dura
Mod	OOK	00K	00K	00K	OOK	80 S	00K	ASK	OOK	PIE	00K	PWM	FSK	OOK	PPM.	ASK	YOS Y	ASK	200	OOK	OOK	00K	00K	FSK	00K	00K	FSK	00K	OOK	00K	00K	00K	00K	ASK	00K	ASK	00K	00K	00K	00K	00K	00K	00K	FSK	00K	00K	ASK	1		00K	NOO.	- 00K	00K		OOK	00K	00K	00K	OOK/FSK	400	<b>Y</b> OO	400	00 K	O-OPSK	CDMA	00K	OOK	OOK	00K	00K	400
Channel	1	0-0-B	1	0-0-B		I-B	. E	I-B	1	0-0-B	1	I-B		- <u>-</u> B	0	0-0-18	9-1			I-B	1 1	0-0-B	1	1	1	0-0-B	1	1	1	ı	1	I-B	ı	0-0-B	ı	0-0-B	I-B	I-B	0-0-B	0-0-B	1	1	1	1	1	I-B	1	0-0-B	1	ı	1	- I-B	I-B	I-B	I-B	1	į	1	1	ı		0-0-B					0-0-B	0-0-B		1	
Address		ID-Based	Broadcast	ID-Based	ID-Based	Broadcast	D-Based	ID-Based	-	ID-Based	ID-Based	ID-Based		ID-Based		1	Broadcast	,	In Bood	ID-Based		ID-Based	1	ID-Based	ID-Based	ID-Based	1	1	ID-Based	ID-Based	1	ID-Based	ı	ID-Based	ID-Based	ID-Based	ID-Based	ID-Based	ID-Based	ID-Based	ID-Based	ID-Based	Broadcast	ID-Based	Broadcast	ID-Based		ID-Based	ID-Based	ID-Based	ID-Based	ID-Dascu	ID-Based	1	ID-Based	1	ID-Based		Broadcast	ı	- E	ID-Based	ID-Based	ID-Based	ID-Based		ID-Based		. (	ID-Based	
P.Src	Active	Passive	Active	Semi-Active	Active	Active	Active	Active	Semi-Active	Semi-Active	Semi-Active	Semi-Active	Active	Semi-Active	Active	Active	Semi-Active	Passive	Active	Active	Active	Semi-Active	Semi-Active	Semi-Active	Active	Active	Active	Active	Active	Active	Semi-Active	Active	Active	Active	Passive	Passive	Active	Active	Active	Active	Active	Active	Active	Active	Passive	Active	Active	Passive	Active	Active	Active	Passive	Semi-Active	Passive	Active	Semi-Active	Semi-Active	Active	Active	Semi-Active	Semi-Active	Fassive	Active	Semi-Active	Passive	Active	Active	Semi-Active	Semi-Active	Semi-Active	Active
Authors	Rabaey et al. [59]	Gu et al. [93]	Pletcher et al. [60]	Malinowski et al. [119]	Mark et al. [88]	ru et al. [61] Diatchar at al [62]	Pretenter et al. [02] Doorn et al. [33]	Takiguchi et al. [63]	Lim et al. [112]	Ansari et al. [38]	Durante et al. [117]	Le-Huy et al. [32]	Langevelde et al. [64]	Gamm et al. [36]	Drago et al. [65]	Jurdak et al. [90]	Huang et al. [115]	Chung et al. [95]	Demback at al [67]	Tang et al. [68]	Lietal [69]	Marinkovic et al. [104]	Roberts et al. [111]	Sjoland et al. [109]	Yoon et al. [70]	Oller et al. [50]	Cho et al. [71]	Wada et al. [72]	Francois et al. [73]	Milosiu et al. [74]	Oh et al. [116]	Prabhakar et al. [51]	Kim et al. [75]	Boaventura et al. [52]	Nilsson et al. [99]	Ba et al. [102]	Petrioli et al. [39]	Oller et al. [53]	Spenza et al. [54]	Bdiri et al. [55]	Tzschoppe et al. [89]	Patel et al. [76]	Bryant et al. [77]	Abe et al. [78]	Kamalinejad et al. [97]	Oller et al. [56]	Choi et al. [79]	Donno et al. [101]	Fraunhofer [80]	Moazzeni et al. [81]	Milosiu et al. [82]	Zoaren et al. [37]	Prete et al. [105]	Shekhar et al. [94]	Sutton et al. [37]	Salazar et al. [113]	Ammar et al. [103]	Chen et al. [83]	Taris et al. [84]	wang et al. [108]	Sumantni et al. [106]	Chen et al. [100]	Magno et al. [67]	Shuanomino et al [118]	Roberts et al. 1961	Hoang et al. [85]	Juha et al. [58]	Hsieh et al. [114]	Thanh et al. [110]	Polonelli et al. [107]	ININOUISIU St. sat. pouj
Year	2002	2005	2007	2007	7007	2008	2009	2009	2009	2009	2009	2009	2009	2010	2010	2010	2010	7017	2011	2011	2011	2011	2012	2012	2012	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2014	2014	2014	2014	2014	2014	2014	2014	2014	2014	2014	2014	2014	2015	2012	2012	2015	2015	2015	2015	2015	2015	2015	5102	5102	5102	2012	2010	2016	2016	2016	2016	2016	2016	2010
No.		7 0	m ·	4 1	0	0 1-	- 00	6	10	=	12	13	4 ;	15	9 !	17	× 5	5 6	2 5	25	3	24	25	56	27	58	59	30	31	32	33	34	35	36	37	38	39	40	41	42	43	4	45	46	47	48	49	20	51	52	50	t 5	26	57	28	29	9	[9]	62	60	ŧ 3	60	90	3	69	70	71	72	73	4	5

TABLE IX Non-RF Based Wake-Up Radio Prototypes

No.	Year	Year Authors	P.Src	Address	Channel	Mod	Signal Detection	RX Front End	A.D	Medium	S.V [v]	Freq [kHz]	D.R [kbps]	Sens [dBm]	R [m]	Pwr $[\mu W]$	Implement
-	2008	Hakkinen et al. [120]	Active		0-0-B	00K	Photo Diode	LNA, BPF, COM		Infrared	6	1		1	6~30	12	Prototype
7	2010	Mathews et al. [48]	Active	-	0-0-B	OOK	Photo Diode	LNA, C		Optical	3.3	ı	2	-53	15	317	Prototype
33	2012	Kim et al. [49]	Active	ID-Based	0-0-B	PWM	LED	LED, C	MCU	Optical	1.2	ı	0.091	ı	$0.2 \sim 50$	0.000695	Prototype
4	2012	Sanchez et al. [47]	Active	ID-Based	0-0-B	OOK	Transducer, MN	BPF	AS3933	Sonar	3.3	85	_	•	240	8.1	Prototype
5	2013	Yadav et al. [44]	Active	-	0-0-B	OOK	Piezoelectric, MN	LNA, M-IF, BB		Ultrasonic	9.0	40.6	0.25	ı	8.6	4.78	Prototype
9	2013	Lattanzi et al. [45]	Active	ID-Based	0-0-B	OOK	Piezoelectric, MN	LNA, C	MCU	Ultrasonic	2	40	0.016	-10	10	1.748	Prototype
7	2014	Hoffinger et al. [46]	Active	-	0-0-B	PWM	Microphone	LNA	AS3933	Audio	3	18		ı	7.5	56	Prototype
∞	2016	Bannoura et al. [121]	Active	ID-Based	0-0-B	ASK	Microphone	BPF, LNA	AS3934	Audio	3	20		ı	10	45	Prototype
6	2016	Carrascal et al. [122]	Active	ID-Based	0-0-B	ASK	Solar panel	ED,C	AS3933	VLC	2.4	21	1.12	•	7~14	19.2	Prototype
10	2016	Lim et al. [123]	Active	-	0-0-B	00K	Photo Diode	PD,COM		Optical	ı	ı	250	ı	25	28.1	Prototype

Micro-controller Unit; AS-AS393X Series; C-Correlator; 1B-1 Bit ADC; ILRO-Injection Locking Ring Oscillator; BF-Bloom Filter; MF-Multiple Frequencies; BB-Base Band Amplifier; BPF-Band Pass Filter; VLC-Visible Light Communication; P.Src-Power Source; Mod-Modulation Technique; RX Front End-Receiver Front End; A.D-Address Decoding Capabilities; Tech-Technology Used; S.V-Supply Voltage; Freq-Frequency Sens-Sensitivity; R-Operational Range; Pwr-Power Consumption in Active Mode; Implement-Implementation; O-O-B-Out-of-Band; I-B-In-Band; ANT-Antenna; MN-Matching Network; PD-Preamble Detector; ED-Envelope Detector; VM-Voltage Multiplier; LNA-Low Noise Amplifier; M-IF-Mixers and IF-Amplifier; FB-Filter SR-Shift Register; H-Heterodyne; COM-Comparator; BAW-Bulk Acoustic Wave; SAW-Surface Acoustic Wave; FF-Flip Flop; D.R-Data Rate;

Note: Articles that did not provide values for particular information has been stated as (-) in the Tables

In contrast, the nonlinear nature of envelope detectors make 1464 the OOK receivers more susceptible to interference contribut- 1465 ing to higher packet error rate and need for retransmission. One 1466 can argue that retransmission is expensive in terms of power, 1467 but the burden of this is shifted from high power radio to ultra- 1468 low power WuR. The advantage of FSK over OOK is that it 1469 is more resilient to fading and interference. Therefore, in view 1470 of low power WuRx design, either OOK or FSK modulation 1471 scheme should be considered.

There are five reported design concepts that differ from 1473 above. The concept presented by Le-Huy and Roy [32] 1474 uses Pulse-width modulation (PWM) technique since it only 1475 requires an integrator with a reset option without increasing 1476 the complexity of the receiver architecture. Another benefit of 1477 using PWM is that it presents the possibility to control the duty 1478 cycle of the transceiver. Shuangming et al. [118] use the Offset 1479 quadrature phase-shift keying (O-QPSK) to design an ultra low 1480 power System-on-Chip (SoC) based baseband processor with 1481 wake-up identification receiver consuming only 28.2  $\mu$ W. The 1482 concept by Ansari et al. [38] use multi-stage approach for 1483 WuSing where CC1000 radio chip is used to perform OOK 1484 by turning on and off it's power amplifier. Then the digital 1485 data is encoded using Pulse Interval Encoding (PIE) with dif-1486 ferent time intervals T. In order to successfully decode this 1487 data sequence, authors utilize MSP430 series micro-controller. 1488 A broadband-IF super heterodyne proposal for a crystal-less 1489 2.4 GHz WuRx is presented by Drago et al. [65]. The WuS 1490 is modulated by means of Pulse Position Modulation (PPM). 1491 In order to reduce the power consumption of their design, 1492 both the signal front-end and the oscillator are duty-cycled 1493 at the pulse level. The WuRx achieves -82 dBm sensitivity 1494 and requires up to 415 µW. Recently, Roberts et al. [96] 1495 have proposed a Bluetooth Low Energy (BLE) WuRx with 1496 energy harvesting capability. They have utilized Code divi- 1497 sion multiple access (CDMA) modulation scheme referred to 1498 as Back-channel for encoding and decoding the WuS. Upon 1499 signal detection, the information is fed into a baseband proces- 1500 sor that correlates the energy levels with a time-based template 1501 that matches the sequence of BLE advertising packets to deter- 1502 mine the presence of a wake-up message. This CMOS based 1503 design was able to achieve sensitivity of -56.5 dBm while 1504 consuming only 236 nW. 1505

## B. Sensitivity vs. Power Consumption

Fig. 12 shows the comparison between the WuR's power 1507 versus sensitivity. It should be noted that these are all custom 1508 ultra-low power radios, including radios of different architec- 1509 ture, different data rate, different operating frequencies; none 1510 of which is separated in this plot.

Generally, the power consumption of the WuR is related to  $_{1512}$  its sensitivity. With power consumption, in  $\mu$ W, on the y-axis  $_{1513}$  and the sensitivity, in dBm, on the x-axis, two distinct trends  $_{1514}$  can be observed. First, when looking at sensitivity higher than  $_{1515}$  -40 dBm (to the left on the x-axis) it can be seen that there is  $_{1516}$  no direct correlation between the changing sensitivity to the  $_{1517}$  power of the receiver. However, there is a floor around 2  $\mu$ W  $_{1518}$  suggesting that there is a minimum power requirement for  $_{1519}$ 

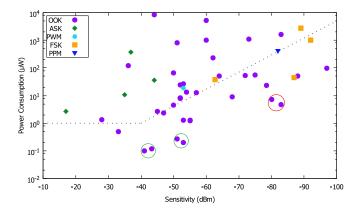


Fig. 12. Sensitivity of low power RF based wake-up receivers vs. Power consumption w.r.t different signal modulation techniques.

the radio regardless of sensitivity. With increasing sensitivity from -40 dBm (to the right on the x-axis) there is a liner trend indicating a correlation between sensitivity and power. It can be seen empirically through slope-fitting that a 20 dBm change in sensitivity results in an approximately  $10 \times$  change in power consumption. The designs below this slope are regarded as energy efficient as most of them exhibit high sensitivity at low energy cost.

Moreover, as can be seen in Fig. 12, the lowest power con- sumption that has been achieved so far has been 98 nW [111], but not without trading-off the sensitivity (-41 dBm). This design was able to achieve a communication range of only 1.2 m. Out of 75 prototypes that we have sur- veyed for RF based WuR for those that power consumption and sensitivity values were provided, only 23 prototypes were able to achieve power consumption below 10  $\mu$ W, where [86], [111], and [116] reached an outstanding power consumption around 100 nW.

Regarding the requirements for different applications in Table XII, it can be seen that for short-range communication such as WBAN, five WuR prototypes [96], [98], [104], [111], [116] (marked with green figure further fully find the power consumption and sensitivity requirements. All these prototypes have power consumption below figure for metering for mid-range communication (e.g., smart city and metering), only [74], [82] (marked with a red circle) fullfill figure fully figure for these prototypes are 4.75  $\mu$ W and 7.25  $\mu$ W, and -83 dBm for holds from the figure for these prototypes are 4.75  $\mu$ W and 7.25  $\mu$ W, and -83 dBm for holds from the figure for t

For ultra-low power WuR, the knowledge from Fig. 12 is useful for understanding key design trade-offs. For example, most designers [64], [87], [89] try to push the sensitivity as low as possible to achieve better communication range, but this may lead to power-costly design.

In terms of modulation technique, most of these designs utilize OOK modulation. OOK based prototypes have been able to reach the two extreme ends of the power levels, one being the most energy efficient [111] while the other design is not [87]. There are two designs, one based on CDMA [96] and the other using FSK modulation [78] that have also been able to achieve an excellent receiver sensitivity of -56.5 dBm

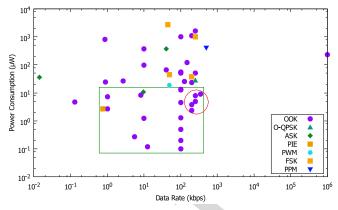


Fig. 13. Data Rate of low power RF based wake-up receivers vs. their Power consumption w.r.t different signal modulation techniques.

and -87 dBm, respectively with very low power requirements. 1562 Both of these prototypes are fabricated using 65nm CMOS 1563 process and use correlators for address decoding.

## C. Data Rate vs. Power Consumption

Fig. 13 shows the data rate of WuRxs with respect to their 1566 power consumption and signal modulation techniques. Since, 1567 power is inversely proportional to data rate, it is generally pos- 1568 sible to increase the data rate with little power overhead [124], 1569 however, communication distance will be short. For example, 1570 it does not cost much in terms of power to increase the mod- 1571 ulation rate from 1 kbps [50] to 100 kbps [83] in an OOK 1572 receiver.

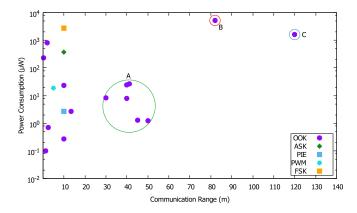
As can be seen, there are fourteen designs that have been  $_{1574}$  able to reach a data rate above 200 kbps. Out of these,  $_{1575}$  five [36], [66], [72], [73], [99] have a power consumption  $_{1576}$  below  $_{10}$   $_{\mu}$ W.

application perspective, there From designs [36], [66], [72], [73], [99] (circled in red) that 1579 offer high data rate at the same time consuming low power 1580 making them suitable for WBAN application scenarios for 1581 replacing the high data radio with WuR. Thanks to its high 1582 data rate and low power consumption, these WuR utilized 1583 as main data radio can have an advantage over duty cycled 1584 transceiver in terms of reducing the overall communica- 1585 tion delay. One of the prototypes in the millimeter-wave 1586 band operating at 60 GHz based on OOK modulation has 1587 been designed to achieve very high data rate of up to 1588 1 Gbps [69], however, it may not be applicable for WBAN 1589 due to its high power consumption of 230  $\mu$ W. However, 1590 this makes it suitable for wireless personal area network 1591 applications that demand short-range of up to 0.2 m with high 1592 data rate.

For mid-range applications that require moderate data rates  $_{1594}$  with low power consumption, there are few prototypes (green  $_{1595}$  rectangle) that may be suitable for these scenarios. All these  $_{1596}$  prototypes have data rate between 0.75 kbps to 500 kbps, and  $_{1597}$  power consumption below 12.5  $\mu$ W.

## D. Range and Frequency Usage

So far we have only looked at the modulation technique, 1600 receiver sensitivity, and data rate. Another factor that impacts 1601



Communication Range of RF based wake-up receivers vs. their Power consumption w.r.t different signal modulation techniques.

1602 the power consumption of wake up radios is the carrier 1603 frequency. The choice of the carrier frequency is an impor-1604 tant parameter for the wake-up transceiver. Fig. 15 shows 1605 the main frequency bands that have been utilized by most of 1606 the WuR prototypes together with the min, max and average power consumption. One of the trends that can be observed is that the average power consumption of transceivers increases 1609 from sub-GHz band to 2.4 GHz. This is due to the fact that 1610 transceiver circuits running at higher frequencies require more current to achieve the same performance as lower frequencies.

From this survey and referring to Table VIII, it can be seen that 25 of the prototypes are based on 2.4 GHz while 32 1614 of them are between 433 MHz and 915 MHz. One of the 1615 designs that have achieved an outstanding power consump-1616 tion of 0.0115  $\mu$ W operates in 50 MHz [86]. The design is based on CMOS technology and features a data rate of 1kbps 1618 with receiver sensitivity of -60dBm. Due to its semi-active 1619 design and OOK modulation, this particular prototype man-1620 aged to surpass state-of-the-art wake-up radios in terms of 1621 sensitivity and power trade-off. However, it has only been 1622 tested via simulations. Nevertheless, most of the designers 1623 have opted to shift from high frequency to sub-GHz as an 1624 operating frequency for wake-up receivers. One of the reasons 1625 is that at higher frequencies the attenuation rate also increases, 1626 i.e., the 2.4 GHz signal weakens faster than a sub-GHz signal. According to the Friis equation, the path loss at 2.4 GHz is 1628 8.5 dB higher than at 900 MHz translating into 2.67 times 1629 longer range for 900 MHz transceivers.

Besides the need for higher power for the same link budget, 1631 2.4 GHz band is more prone to interference due to spectrum 1632 crunch and devices such as Wi-Fi and Bluetooth operating in 1633 the same band. Sub-GHz ISM bands are mostly used for proprietary low-duty-cycle links and are not as likely to interfere with each other. The quieter spectrum means easier transmissions and fewer retries, which is more efficient and saves 1637 battery power for wake-up radio based systems.

Furthermore, Fig. 14 shows the maximum achievable com-1639 munication range reported for different WuR prototypes 1640 in terms of their power consumption. It should be noted 1641 that we do not take into account prototypes that did not 1642 report explicitly the communication range of the WuR.

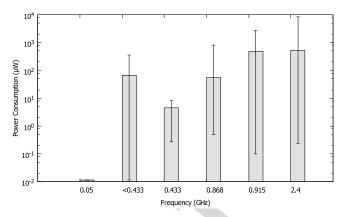


Fig. 15. Frequency selection vs. Power consumption.

From the application point of view, WuR prototypes with 1643 communication range between 30 m to 50 m (labeled as 1644 cluster A) [36], [37], [40], [53], [54] satisfy the requirements 1645 for mid-range applications. For the WBAN case WuR con- 1646 cepts [36], [66], [72], [73], [99] fulfill the sensitivity, data 1647 rate and power requirements, if used as a full data radio. 1648 However, if utilized just as a secondary radio for triggering 1649 the main node's transceiver, WuR with power consumption 1650 below 10  $\mu$ W should be considered. 1651

E. Summary 1652

The main characteristics of all ultra-low power WuR are 1653 sensitivity, data rate, frequency, and power consumption. 1654 However, the technology used to design WuR prototypes 1655 vary from simple energy detection using discrete components 1656 to envelope detection using CMOS, influencing its overall 1657 performance. Therefore, for different application requirements 1658 the best prototype has to be selected carefully. While some 1659 provide high data rate, others are better for high sensitivity or 1660 very low power consumption.

It has been observed that to achieve ultra-low-power con- 1662 sumption while maintaining robust operation involves difficult 1663 trade-offs between range, data rate, sensitivity, and energy 1664 efficiency that must be overcome through a combination of 1665 innovative circuit design, novel architectures, and system-level 1666 considerations. This section has provided some benchmarking 1667 data to help identify what architectures and WuR prototypes 1668 might make the most sense given system-level specifications. 1669 While optimal implementations depend strongly on the given 1670 application, in general the most energy efficient WuR employ 1671 low-complexity modulation schemes (e.g., OOK). 1672

## VI. MEDIUM ACCESS CONTROL

1673

Major work on WuR technology has been focused on 1674 improving hardware components to achieve better power con- 1675 sumption and physical layer communication characteristics, 1676 Nevertheless, to fully exploit the technology, it must be cou- 1677 pled with communication protocols, rounding out the system 1678 design. We divide our discussion in two parts, first focusing 1679 on medium access in this section, then moving up the proto- 1680 col stack to routing in the next section. In considering MAC, 1681

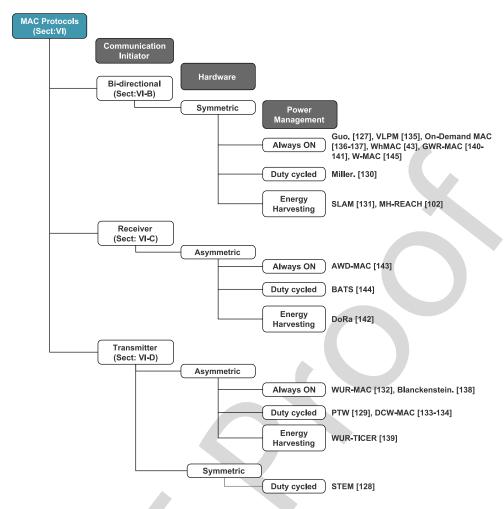


Fig. 16. Taxonomy of wake-up radio based MAC protocols.

we address properties both general to wireless medium access and specific to WuR. Table X summarizes the different WuR based MAC protocols designed so far while Fig. 16 organizes them into a taxonomy.

## 1686 A. Classification of WuR-Based Medium Access

In the last decade, various MAC protocols have been proposed for wireless sensor networks. Most of these energy conservation protocols [5], [19], [23] are single-radio based and use duty cycling mechanisms. In duty cycling mode the nodes periodically wake-up to sample the channel and then go back to the sleep state. However, duty cycling MACs suffer from idle listening and waiting time that increases the data latency and power consumption (see Section I-A). Wake-up radios combat this at the hardware level, but they must also be coupled with a MAC protocol to control their use.

The main contrast between traditional asynchronous MAC protocols and MACs designed for use with WuRs is that dual-radios are utilized, one of which is the extreme low-consumption WuR. In the former, different power management techniques are applied to the main transceiver for reducing radio-on times. The latter uses different strategies to control the secondary radio while keeping the main radio off during periods of inactivity.

MAC protocols typically divide themselves between on- 1705 demand and scheduled, with a majority of existing WuR 1706 protocols falling into the former category for flexibility and 1707 simplicity as complex, system wide schedules are not required. 1708 Further, an on-demand approach well-suits the use of the WuR 1709 as a trigger, and avoids heavy resource requirements to build, 1710 communicate, and store schedules. Below we focus on sev- 1711 eral dimensions to on-demand communication, discussing how 1712 the WuR paradigm changes their applicability w.r.t. standard 1713 wireless communication. Fig. 17 (notably not drawn to scale) 1714 illustrates different WuR based communication schemes that 1715 can be adopted for various applications. Two channels are uti- 1716 lized, the WuR channel and the main radio channel. The height 1717 of the bar symbolically represents the power consumption of 1718 the respective transceivers (WuR and the main radio) in active 1719 and inactive states during different radio events while the width 1720 represents the radio on-time. 1721

The first concern we address in the taxonomy of Fig. 16 <sub>1722</sub> requires identifying which pair of nodes is allocated the wire- <sub>1723</sub> less channel based on who is the *communication initiator*: the <sub>1724</sub> transmitter, the receiver or either (bi-directional).

(i) *Initiator (Transmitter):* In a Transmitter-initiated pro- 1726 tocol, the node that has data to send initiates 1727 communication (Fig. 17(a)). It first sends a wake-up 1728

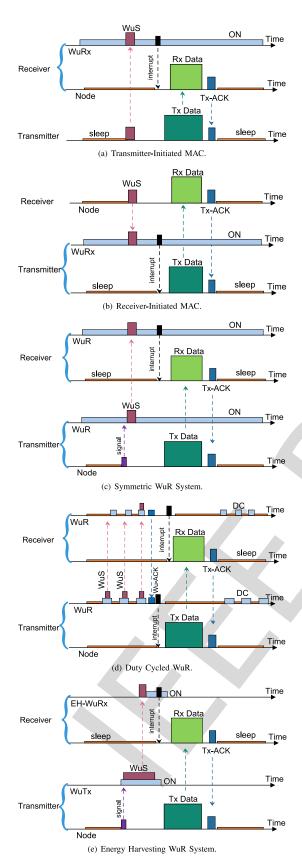


Fig. 17. Various wake-up radio communication schemes.

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signal, whose receipt triggers the receiver to wake up its main transceiver. Data is exchanged using the main transceivers followed by Tx-ACK if transmission

- was successful. The nodes then go back into 1732 sleep mode.
- (ii) Initiator (Receiver): In Receiver-initiated systems 1734 (Fig. 17(b)), the burden of starting a communication 1735 event falls to the receiver, specifically with the node, 1736 often the sink, announcing its readiness to receive data. 1737 After this announcement, it switches to receive (RX) 1738 mode and monitors the wireless channel to receive any 1739 incoming packets. If we assume the WuRx on the sender 1740 side is always active and listening, when it receives the 1741 signal it activates its main transceiver to send the data 1742 packet. The session ends when the transmit acknowl- 1743 edgment (Tx-ACK) signal arrives at the sender from 1744 the destination node, after correctly receiving the data 1745 packet. All the nodes then go back to sleep mode. This 1746 communication modality is most effective when trans- 1747 missions are infrequent, and collisions at the receiver are 1748 unlikely.
- (iii) *Initiator (Bi-directional)*: In bi-directional systems, 1750 either of the nodes that want to push or pull data can ini- 1751 tiate the communication via their respective WuRs. The 1752 data packet is still exchanged between main transceivers. 1753 This setup is more suitable for enabling multi-hop 1754 communication.

Thus far we have ignored the placement of the specialized 1756 WuR hardware, assuming that the non-initiator is equipped 1757 with the WuRx. Here we detail asymmetric and symmetric 1758 options.

- (i) *Hardware (Asymmetric):* If only a single hop network 1760 is required, an asymmetric scheme is possible, with the 1761 WuRx on only one side of the communication link. In a 1762 scenario with a powered sink, a Receiver-Initiated solu- 1763 tion can be used to pull data to the sink from nodes that 1764 are one-hop from the sink. The non-sink nodes must 1765 have a WuRx, allowing them to wait in a very low con- 1766 sumption state, then switching to a higher consumption 1767 only when the sink is ready to receive their data.
- (ii) *Hardware (Symmetric):* For a multi-hop system, each 1769 node must alternately serve as receiver and transmitter, 1770 resulting in a symmetric system in which all nodes are 1771 equipped with a wake-up transceiver. Either receiver- 1772 or transmitter-initiated schemes are possible. Fig. 17(c) 1773 shows a transmitter-initiated case, in which the transmit- 1774 ter sends a wake-up signal to the receiver. The receipt of 1775 this signal triggers the activation of the main transceivers 1776 for data exchange.

Next we turn to the usage of the wake-up radio itself, 1778 concentrating on how and when it is powered. There are 1779 three power management techniques that can be applied: 1780 always-ON, duty cycling the WuR or energy harvesting.

- (i) Power (Always-On WuR): Typically, due to the low con- 1782 sumption of the WuRx technology, it can be constantly 1783 powered, waiting for a trigger signal. In a transmitter- 1784 initiated scenario, this minimizes the latency, as the 1785 receiver is immediately aware of the transmitter's need 1786 to initiate communication.
- (ii) Power (Duty Cycled WuR): To further reduce power con- 1788 sumption, the wake-up radio itself can be duty cycled 1789

(Fig. 17(d)), meaning the WuRx is periodically put into listen mode to monitor the channel for a wake-up signal. To compensate for the sleeping times of the receiver, the WuTx must send the wake-up signals more than once, until a wake-up acknowledgment (Wu-ACK) is received from the target WuRx. When the WuRx listening period coincides with the wake-up signal transmission, the receiving node switches on its main transmitter and the main data transmission is initiated. If no Wu-ACK is received, the initiator node can re-transmit the wake-up signal. To avoid overhearing by the non-targeted nodes, the wake-up signal carries the destination address.

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(iii) Power (Energy Harvesting WuR): As mentioned in Section III, in energy harvesting WuR system (EH-WuR), the WuRx is only woken up when "sufficient" energy is harvested from the wake-up signal. Fig. 17(e) illustrates the transmitter-initiated scenario where the energy from the WuS is utilized for powering up the trigger circuitry. In this scenario when there is no communication going on, the WuRx is completely switched OFF.

We next consider two, elements that we leave out of our taxonomy, but are nevertheless considered part of the MAC. First, what information is exchanged over the WuR and sector, whether the WuR works in the same frequency band as the main radio.

- (i) Data (Trigger-only): The most typical use of the WuR is to trigger a higher power radio, used for communicating data. This requires very little logic on the WuR board, and minimizes hardware complexity. As mentioned previously, the trigger can be broadcast, waking up all neighboring nodes, or unicast, with the trigger containing the address of the intended recipient.
- (ii) Data (WuR as main data radio): As an alternate, the low-power WuR can be responsible for all communication, i.e., for sending the wake-up signal and the data packet. The communication is still bidirectional, however, there is no main high power transceiver.

For the next option, we look at the radio itself, specifically the use of the wireless spectrum, divided into channels.

- (i) Spectrum (In-Band): Few published MAC protocols address only in-band (single channel) communication, i.e., both the trigger and the data are exchanged over the same channel or frequency.
- (ii) Spectrum (Out-of-Band): Multiple channels, instead, can reduce interference and increase bandwidth, but at the expense of additional coordination between senders and receivers both in time, as mentioned previously, and also across the space of the channels. In most of the WuR-MAC protocols, the bandwidth is divided into two channels: one used for control and the other for wake-up signals. Another is the data channel with higher bandwidth allocated for the main radio. For channel reservation, normally RTS/CTS handshake mechanism is performed over the control channel. The RTS/CTS frame includes a preamble, sender/receiver address, channel information for the main transceiver, and packet length. Use of out-of-band approach has following advantages.

Firstly, using different channels appropriately can lead 1848 to higher throughput. Secondly, communication on dif- 1849 ferent channels or frequency does not interfere with each 1850 other allowing multiple transmissions simultaneously, 1851 leading to fewer collisions.

In the remainder of this section, we organize our discus- 1853 sion of proposed protocols along the taxonomy of Fig. 16, 1854 first according to the communication initiator: bi-directional, 1855 receiver-initiated, and transmitter-initiated. Within each, we 1856 further sub-divide the discussion across symmetric and asymmetric hardware and different power management approaches, 1858 also offering the categorization of the protocols along the lines 1859 mentioned here.

#### B. Bi-Directional MAC Protocols

The most populated sector for MAC protocols is **bi-** 1862 **directional**, in which any node can initiate the communication. 1863 For instance, in a WBAN the traffic is normally categorized 1864 into two types: *uplink* where the sensing nodes can commu- 1865 nicate with the coordinator node to report urgent data and 1866 the *downlink* where the coordinator can send messages to 1867 the nodes. In this framework, all the nodes can be attached 1868 with WuR transceivers providing bi-directional communica- 1869 tion [143]. This requires **symmetric** hardware on all nodes, 1870 but affords full flexibility of **power management**, which we 1871 detail here.

1) Always ON: The MAC protocols in this category keep 1873 the low-power WuRx always ON. As such, it is able to 1874 receive the wake-up beacon immediately with reduced 1875 latency, however, the energy consumed is non-negligible. 1876 Several existing MAC protocols, VLPM [133] 1877 WhMAC [42], [104], On-Demand MAC [134], [135], 1878 and GWR-MAC [138], [139], have been proposed for 1879 the star topology, applying this schema using existing 1880 wakeup radios to WBAN. The authors assume that 1881 the wake-up beacon contains the target destination 1882 node address allowing other nodes in the network to 1883 keep their main radio in sleep state. However, all of 1884 these works ignore the fact that different physiological 1885 parameters sampled by different sensor nodes generally 1886 have significant differences in terms of traffic arrival 1887 and data rate. For instance, sensors monitoring electro- 1888 cardiography (ECG) is allocated high data rate while 1889 body temperature sensors are assigned low data rate. If 1890 the same energy saving strategy is used to cope with 1891 all of the sensor nodes, the nodes with high energy 1892 consumption rate will quickly exhaust their energy, 1893 which eventually reduce the entire network lifetime. In 1894 addition, while some of these protocols may work well 1895 in a small, single-hop network like a WBAN, they may 1896 lack in flexibility to work for more general WSNs with 1897 a large number of nodes. Guo et al. [125] proposed 1898 one of the earliest protocols using always-on WuRxs 1899 to show the benefit of bi-directional over traditional 1900 radios with duty cycling MAC. The receiver assigns the 1901 nodes with unique data channels by encoding channel 1902 information in the wake-up beacon called channel 1903

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No.	Protocol	Year	Initiator	Hardware	Power Management	Information Exchange	Channels	Key Novelty	Implement <sup>n</sup>
1	Guo et al. [125]	2001	Bidirectional	Symmetric	Always ON	Trigger	Multiple	-Embedding channel information in WuS	Simulation
2	STEM-T [126]	2002	Transmitter	Symmetric	Duty Cycled	Trigger	Out-of-Band	-All neighbors woken up	Simulation
3	STEM-B [126]	2002	Transmitter	Symmetric	Duty Cycled	Trigger	Out-of-Band	-Addressed Beacon	Simulation
4	PTW [127]	2004	Transmitter	Asymmetric	Duty Cycled	Trigger	Out-of-Band	-Broadcast wake-up -Addressing on data channel	Simulation
5	Miller et al. [128]	2005	Bidirectional	Symmetric	Duty Cycled	Trigger	Multiple	- Wake up scheduling	Simulation
6	SLAM [129]	2007	Bidirectional	Symmetric	Energy harvesting	Trigger	Multiple	-Energy harvesting by all nodes	Simulation
7	WUR-MAC [130]	2009	Transmitter	Asymmetric	Always ON	Trigger	Out-of-Band	-CTS / RTS on WuR channel	Simulation
8	DCW-MAC [131], [132]	2011-14	Transmitter	Asymmetric	Duty Cycled	Trigger	In-Band	-Single trasmitter for trigger and data -Separate WuRxs	Simulation
9	VLPM [133]	2011	Bidirectional	Symmetric	Always ON	Trigger	Multiple	-Bidirectional wake up	Simulation
10	On-Demand MAC [134], [135]	2011	Bidirectional	Symmetric	Always ON	Trigger	Multiple	-Bidirectional wake-up	Simulation
11	Blanckenstein et al. [136]	2012	Transmitter	Asymmetric	Always ON	Trigger	In-Band	-Node clustering -TDMA on main radio	Simulation
12	WhMAC [42]	2012	Bidirectional	Symmetric	Always ON	Trigger	Multiple	-TDMA on main radio	Simulation
13	WUR-TICER [137]	2013	Transmitter	Asymmetric	Energy harvesting	Trigger	In-Band	-Energy harvesting by all nodes	Simulation
14	GWR-MAC [138], [139]	2014	Bidirectional	Symmetric	Always ON	Trigger	Multiple	-Bidirectional wake up	Simulation
15	MH-REACH [100]	2015	Bidirectional	Symmetric	Energy harvesting	Trigger	Out-of-Band	-Passive RFID	Testbed
16	DoRa [140]	2015	Receiver	Asymmetric	Energy harvesting	Trigger	Out-of-Band	-Energy harvesting -Base station wakes up the neighbors	Simulation
17	AWD-MAC [141]	2015	Receiver	Asymmetric	Always ON	Set of Triggers	In-Band	-Wake up multiple neighbors	Simulation
18	BATS [142]	2016	Receiver	Asymmetric	Duty Cycled	Trigger	Out-of-Band	-Supports Mobility	Testbed
19	W-MAC [143]	2017	Bidirectional	Symmetric	Always ON	Trigger	Out-of-Band	-Bidirectional wake-up -Addressed beacon -Supports multi-hop	Simulation

based local addressing scheme. The transmitting node captures this information via its WuRx and switches its data radio to receiver's channel after activating the main node. Through the simulation of their protocol in broadcast mode, the authors showed that power reduction of 10~100 times can be achieved with always-on WuRxs compared to duty cycled main radio solutions. To target real WSN applications, W-MAC [143] was proposed for multi-hop network in which nodes alternately act as senders and receivers. W-MAC takes advantage of secondary always-on WuR that is attached to the main mote acting as the communication initiator. Whenever a node has data to send, either generated by the upper layers of the protocol stack or forwarded by neighboring nodes, W-MAC first transmits a wake-up beacon containing the destination node address. To avoid collisions, the WuR and the main radio use different channels for wake-up beacon and data packets. Using simulations with two

- different routing protocols, W-MAC illustrated that 1923 WuR technology has the potential to offer significant 1924 energy savings without compromising on reliability 1925 and latency.
- 2) *Duty cycled:* Another bi-directional communication is 1927 proposed by Miller and Vaidya [128]. To avoid costly 1928 full wake-ups, the sensor nodes schedule a triggered 1929 wake-up with a receiver. This schedule is calculated 1930 by the sink node based on the previous traffic patterns 1931 and is then disseminated to the network. Each node in 1932 the network knows their next wake-up time and when 1933 there is nothing to receive, the WuR is switched into 1934 duty cycling mode until the next wake-up cycle. The 1935 proposed idea is compared to STEM [126] and the simu- 1936 lations show significant reduction in the delivery latency. 1937 Nevertheless, schedule sharing requires tight synchro- 1938 nization at the receiver side leading to extra energy over- 1939 head to overcome clock drifts. The authors also assume 1940 that all the nodes share the same wake-up channel 1941

without specific node addressing, thus triggering all the nodes.

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Energy harvesting: MH-REACH is a MAC protocol designed for passive RFID-based WuR systems supporting multi-hop wake-up sensor networks [100]. In it, the WuTx on the sink wakes up all nodes in its vicinity. Any node that was woken up offloads its data to the sink, and, if it is a multi-hop node, it also transmits a wake-up signal to wake up other nodes within its transmission range. If it is an edge node, after transmitting its data to the sink, it returns to the sleep state until the next wake-up event. Although this protocol supports a multi-hop network, the passive devices require wake-up signals of longer duration (between 5s-10s) to accumulate enough energy to fully power-up the circuitry. Therefore, applications must trade-off maximum wake-up range and node lifetime. In addition, due to its broadcast nature of the WuS, all the nodes within 1-hop are activated, thus contributing to overhearing overhead. A similar energy harvesting based MAC protocol (SLAM) has been proposed in [129]. In SLAM, a few nodes are assigned as guard nodes that monitor the traffic between hops to detect malicious nodes. During periods of inactivity the guard nodes are put into sleep mode and switched on when required via passive WuRxs. Through experiments authors have shown that listening energy can be reduced by to 30-129 times using WuRs while providing a high level of network security.

## 1970 C. Receiver-Initiated MAC Protocols

To increase throughput and to shift the burden of energy consumption from the sender to receiver, some authors have proposed **receiver-initiated** WuR-MAC protocols. Their design is inherently **asymmetric**, and the full range of power management techniques are applicable.

- 1) Always ON: To extend the life of sensing nodes, AWD-MAC [141] utilizes the receiver-initiated scheme but employs a single channel for communication. Different from the traditional receiver-initiated cycled receiver (RICER) where only one common broadcast beacon is sent, AWD-MAC first sends a set of wakeup beacons in sequence to wake-up multiple neighbors for neighbor discovery. The nodes then reply using random slots with their node IDs and respective data rates. Subsequently, the coordinator node creates a neighbor table to query each node in an asynchronous fashion. AWD-MAC claims that the collisions are removed as only one transmitter node is allowed to send its data at a given time while sharing the same channel. Nonetheless, collisions do occur during the neighbor discovery phase when AWD-MAC sends the broadcast beacon to detect new nodes.
- 2) Duty cycled: The first mobility-based WuRx system using the receiver-initiated paradigm has been proposed in the **BATS** project [144]. The authors have investigated the potential of ultra-low power WuRs carried by bats to monitor encounters between individuals and

- to track their routes at high spatial and temporal res- 1998 olution [142], [145], [146]. Due to limited available 1999 energy, the wake-up receivers are duty cycled. To sup- 2000 port multiple mobile nodes and to prevent the colli-2001 sions at the receiver side, the ground node uses Time 2002 Division Multiple Access (TDMA)-like communication 2003 slots with guard intervals between slots. The communi- 2004 cation between the mobile nodes is not synchronized. 2005 When the mobile node enters the communication range 2006 of the ground node, the latter sends a wake-up beacon. 2007 Upon successful wakeup, the mobile node offloads the 2008 data within its assigned slot. Due to the high mobil- 2009 ity of the bat nodes, no carrier sensing techniques 2010 are performed prior to transmission allowing mobile 2011 nodes to send data before exiting the transmission 2012 range. Therefore, if multiple mobile nodes are within 2013 the receivers vicinity, data collisions may occur and the 2014 packets can be lost.
- 3) Energy harvesting: **DoRa** [140] offers a WuR-MAC 2016 protocol that builds upon the foundation of the receiver- 2017 initiated paradigm for the realization of energy harvest- 2018 ing in one hop networks. In the proposed mechanism, 2019 no channel reservation or packet acknowledgments are 2020 transmitted. The nodes answer to the base station by 2021 directly sending the data packet. DoRa also provides 2022 out-of-band support and node addressing. However, sim- 2023 ilar to MH-REACH, a strong wake-up signal is required 2024 in order to harvest enough energy to activate the nodes 2025 leading to high data latency.

## D. Transmitter-Initiated MAC Protocols

We next consider **transmitter-initiated** MAC proto- 2028 cols where each node chooses its transmission schedule 2029 autonomously. In general, this approach puts the energy con- 2030 sumption burden for transmission on the sender, with a much 2031 lighter load on the receiver. Both **asymmetric** and **symmet-** 2032 **ric** approaches are possible, and multiple power management 2033 techniques have been applied.

## We begin with **asymmetric**:

1) Always ON: A transmitter-initiated MAC proto- 2036 col leveraging always-on WuRxs is proposed by 2037 Mahlknecht and Durante [130]. WUR-MAC is based 2038 on multi-channel principle and uses RTS and CTS 2039 handshake mechanism. The sender node first transmits 2040 the request-to-send packet for selecting the appropriate 2041 receiver. The intended node then replies with clear-to-2042 send packet and triggers its main radio for data reception 2043 at higher bandwidth. WUR-MAC supports both point- 2044 to-point and broadcast communication. Using channel 2045 reservation reduces collisions but may impact on the 2046 data latency as the transmission is blocked until CTS 2047 is successfully exchanged. Energy efficient node clus- 2048 tering using WuRxs for WBAN sensors with similar 2049 readings is presented in [136]. To eliminate idle lis- 2050 tening and channel contention, an always-on WuRx is 2051 attached to a main radio that utilizes TDMA scheme. 2052 To achieve clustering, the relevant data information is 2053

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encoded in the WuTx's data pattern. The idea is to reduce energy consumption by reducing the number of data packets through clustering nodes with similar sensor readings and allowing only the cluster head to forward data to the sink. This protocol is only tested using simulations where the wake-up addressing mechanism is used to trigger nodes according to the data they have sensed.

- Duty cycled: Similar to STEM-T, Yang and Vaidya [127] propose a Pipelined Tone Wakeup (PTW) scheme that uses two different radio channels, one for data and one for tone detection. In PTW, the WuRx is duty cycled. When a node has packets to send, it transmits a tone on the wakeup channel and sends the notification packet on the data channel to specify the target node. As the wake-up tone is broadcast, any node within the transmission range of sender will be awakened. From the point of view of application scenarios for opportunistic networking, such an approach could grant fast wake-up in dense and multi-hop scenarios while reducing end-to-end latency, but could be less energy efficient. Analogous to STEM and PTW, the work in [131] and [132] also duty cycles the WuRx statically, but uses in-band approach for communication. In DCW-MAC, the main radio is used for both sending the wake-up beacon and the data, but the authors add dedicated, secondary low-power radio, acting as a WuRx, operating in the same frequency band. The authors through analytical models derive the optimal sleep and listen time for a duty cycled WuRx and compare these models to a non-WuR based system. However, the analysis assumes perfect detection of wake-up signals and energy consumed due to collisions is ignored in the derivation of optimal timing. In addition, the main radio also acts as a wake-up transmitter, hence, frequent switching between RX and TX mode may result in extra energy consumption.
- Energy harvesting: Le et al. [137] have proposed the WUR-TICER MAC protocol that operates by harvesting energy from the ambient environment. The protocol is based on nano-watt WuRx proposed in [147] embedded with an energy harvesting WSN node. Whenever the transmitter has a packet, it broadcasts a wake-up beacon (WUB) indicating to other receivers that it is ready to send. Since the main radio has been used as a WuTx, WUR-TICER utilizes the same channel for sending the WUB and the data packet. As a result, WUR-TICER achieves a lower packet reception rate than the non-WuR model since the WUB collisions are frequent when two or more transmitter nodes wake-up at the same time and try to send a WUB to the base station. Moreover, the WuR is only simulated in a single-hop energy harvesting WSN with a continuous energy source.

Moving on to **symmetric** protocols, we find only one:

 Duty cycled: STEM [126] is one of the first transmitterinitiated protocols that separates the data transmission channel from the wake-up channel by using a dual radio approach on separate frequency bands. Both the radios are high power radios while one of them acts as a WuR. 2112 Two variants exist in STEM. In STEM-T, a tone is 2113 sent which wakes up all the nodes in the neighborhood. 2114 STEM-T resembles the traditional preamble sampling 2115 approach but moves the data transmission to a sepa-2116 rate channel. In STEM-B, a wake-up beacon is used as 2117 a preamble that includes the address of the destination 2118 node and the sender. A node thus can determine whether 2119 it is the intended receiver or not and the non-target nodes 2120 can go back to sleep earlier. Moreover, STEM uses a 2121 regular high power radio as a WuR to achieve the same 2122 coverage as the main radio. Duty cycling is applied to 2123 the WuR while the data radio is switched off unless 2124 required. However, both radios are high power radios 2125 and the power consumption is not reduced. 2126

E. Summary

To make the wake-up radio based system feasible and 2128 energy-efficient, it requires careful design of energy-efficient 2129 protocols. The MAC layer plays a crucial role in coordinating 2130 how nodes share the common broadcast channel. The main 2131 role of this layer is to prevent simultaneous transmissions and 2132 data packet collisions at the same time granting energy effi- 2133 ciency, low channel access delays and ensuring fairness among 2134 the nodes in the network.

So far various asynchronous MAC protocols have been 2136 proposed for WuRs to extend the network lifetime and to 2137 increase reliability and throughput. Within this, different com- 2138 munication and power management techniques have been 2139 employed. For reducing latency, active WuR based MAC pro- 2140 tocols have been proposed. This allows fast response and long 2141 communication as the radios are always on. Although this 2142 technique provides many advantages, it is less energy efficient 2143 as WuRs are always on and dissipate energy. To make this 2144 approach effective, energy-efficient WuR hardware design is 2145 required. Some works have proposed energy harvesting based 2146 MACs and use energy from the wake-up signal itself. The 2147 reason is to extend the node lifetime and to only turn on the 2148 device upon detection of the valid signal. Other sets of proto- 2149 cols have duty cycled the WuRs. Although this reduces power 2150 demand but encounters same problems as traditional MACs 2151 such as increased latency.

To enable on-demand communication, asynchronous WuR- 2153 MAC protocols have mostly adopted transmitter initiated 2154 probing for data transmission. The nodes are only equipped 2155 with the WuRxs (asymmetric) while the main radio is utilized 2156 as the transmitter. This allows only one-way communication 2157 and does not exploit the full potential of WuRs. For the 2158 WuR based system to be effective and applicable for vari- 2159 ous applications bi-directional MACs are more suitable. The 2160 main radio-activity is reduced by exchanging control informa- 2161 tion over the WuRs. This information can include channel or 2162 frequency reservation data, which otherwise would have been 2163 exchanged over the main radio.

As the number of WuR-MAC protocols grows, there are  $_{2165}$  still many open questions such as the different performance  $_{2166}$  they offer when applied to realistic applications. Most of  $_{2167}$ 

2168 the protocol evaluation concentrates primarily on simulation 2169 results and does not include any results from hardware imple-2170 mentations or testbeds. Moreover, their implementation relies 2171 on custom-design software limiting the reproducibility of the 2172 obtained results. Some of these works have quantified the ben-2173 efits of using WuRs in terms of energy consumption through 2174 in-lab power measurements, but do not evaluate other relevant 2175 metrics, such as latency and end-to-end data reliability. While 2176 some of these protocols may work well in a small, single-hop 2177 network like a WBAN, it may lack in flexibility to work for 2178 more general WSNs with a large number of nodes.

#### VII. ROUTING PROTOCOLS UTILIZING WURS

In typical WSNs, hundreds or thousands of sensor nodes are scattered or placed throughout a large area. Each sensor has the capability to communicate, collect, and route data to other nodes or back to the base station. Since, not all of these sensors are in range of the base station, data is routed in a multi-hop fashion. Over the last several decades, a plethora of routing strategies have been proposed for WSNs [25]–[28]. However, most of these studies are based on single radio architecture. The scenario changes when routing is done over WuRs due to the network topology induced by it.

One of the challenges of introducing a WuR as a new com-2191 ponent to an existing node with wireless communication is the 2192 mismatch between the ranges. By nature, WuR technology has 2193 shorter ranges, prohibiting a wake-up signal from triggering a 2194 distant node, despite the ability of the higher power radio to 2195 effectively reach it. This introduces new challenges for tradi-2196 tional routing protocols. In particular, for WuR based systems, 2197 packets need to be routed through longer paths than those of 2198 the main radio. This affects the data latency as well as the 2199 network lifetime. For applications with stringent consump-2200 tion requirements, this may not be acceptable. To mitigate 2201 this, several WuR based routing protocols have been devel-2202 oped for flooding, multi-hop data collection and dissemination. 2203 Table XI summarizes the WuR-based routing protocols that 2204 we survey while Fig. 18 arranges them in a taxonomy based 2205 on whether they address only the routing layer or are also 2206 cross-layer.

## 2207 A. Routing-Only Protocols

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Existing routing-only protocols exploiting wake-up radios can be classified into three categories: topology-based, load balancing, or tree-based.

2211 1) Topology Based: Under this category, every node in 2212 the network maintains routing information such as its end-2213 to-end distance to the sink and also the next hop to reach the 2214 sink. This information is usually obtained by the sink using a 2215 network wide dissemination of control messages. To forward 2216 a packet towards the sink, the node chooses the neighbor that 2217 has the shortest path as the next forwarder.

Stathopoulos *et al.* [148] present a topology control mecha-2219 nism for establishing the end-to-end paths in a WSN using the 2220 dual-radio system. Each node uses its low bandwidth wake-up 2221 radio to request an end-to-end path information to the destina-2222 tion nodes from the central *topology controller*. The novelty of this work is to use multiple short WuR hops to achieve a 2223 single, long higher power hop by the main radio. This protocol 2224 is based on an out-of-band paradigm and supports multi-hop 2225 networks. Latency is the main issue here as path discovery 2226 using low data rate networks can be time-consuming. Since 2227 the topology controller is centralized, this can lead to a single 2228 point of failure, crippling the entire network.

The concept of semantic addressing using WuRs, in which 2230 a pool of multiple WuRx addresses is assigned to a node and 2231 dynamically updated based on its status, has been recently 2232 proposed [39]. A dedicated WuRx-enabled communication 2233 stack called **FLOOD-WUP** exploiting selective wake-ups 2234 and dynamic address assignment is implemented to enhance 2235 system performance. FLOOD-WUP enables transmission of 2236 commands from the sink to the sensor nodes in a reliable and 2237 energy efficient way. Comparing FLOOD-WUP against tradi-2238 tional flooding protocol has shown that nodes using FLOOD-2239 WUP for interest dissemination are 4% energy efficient and 2240 require less energy to achieve full network coverage.

2) Load Balancing: Routing protocols designed for load 2242 balancing not only select the shortest paths towards the des- 2243 tination but can also consider the available energy of the 2244 nodes in the path in an attempt to extend network lifetime. 2245 The routing load is distributed over multiple paths in the 2246 network to improve packet latency and to minimize dropping 2247 packets.

To achieve reliable end-to-end data delivery, a load- 2249 balancing, and optimized data flow communication routing 2250 tree is proposed by Vodel et al. [149]. WRTA is a lightweight 2251 routing protocol for data-centric WSN environments that 2252 combines complex route path calculations and topology opti- 2253 mization mechanisms for asynchronous communications. In 2254 WRTA, the burden of energy consuming calculations such as 2255 maintaining routing path and network status is shifted from the 2256 sensing nodes to the sink. For load-balancing and route opti- 2257 mization, the shortest path is selected for nodes with a large 2258 amount of data depending on the energy level, QoS parameters 2259 and bandwidth of the nodes. WRTA was analyzed using both 2260 software and hardware experiments. It was observed that for 2261 a network with the depth of 3-hops, the proposed routing pro- 2262 tocol experiences high packet loss when the number of packet 2263 generation increases to 7 packets per node/min. 2264

3) Tree-Based: In tree-based routing, nodes form a tree- 2265 like hierarchy with the sink node as the root. Each node 2266 (child) at the particular depth of the tree transmits data to 2267 a node (parent) in the upper level of the hierarchy. This 2268 ensures data transmission in parallel and reduces packet 2269 latency significantly.

Recently, Gnawali *et al.* [150] extended the Collection Tree 2271 Protocol (CTP), the *de facto* standard for data collection in 2272 WSN to work with nodes coupled with WuRs [151]. CTP- 2273 WUR utilizes WuRs to relay wake-up requests and reduces 2274 end-to-end data latency, thereby, extending the achievable 2275 wake-up range. CTP-WUR can handle both broadcast and 2276 unicast packets. It has been shown through simulations that 2277 CTP-WUR performs better, obtaining latencies lower than 2278 tens of microseconds and is highly reliable compared to the 2279 standard CTP.

NIo	Protocol	Voor	Dath Dagwast	Hardware	Addussaina	Tonology	Implomentation
No.	Protocoi	Year	Path Request	naruware	Addressing	Topology	Implementation
1	EAR [152]	2002	Source	Symmetric	ID-based	Distributed	Simulation
2	LESOP [153]	2007	Source	Symmetric	ID-based	Distributed	Simulation
3	Stathopoulos et al. [148]	2007	Source	Symmetric	ID-based	Centralized	Testbed
4	WRTA [149]	2012	Sink	Symmetric	ID-Based	Centralized	Testbed
5	FLOOD-WUP [39]	2014	Sink	Symmetric	ID-Based	Distributed	Simulation
6	CL-RW [154]	2014	Source	Symmetric	ID-Based	Distributed	Testbed
7	ALBA-WUR [54]	2015	Source	Symmetric	ID-Based	Distributed	Simulation
8	ZIPPY [37]	2015	Sink	Symmetric	ID-Based	Distributed	Testbed
9	CTP-WUR [151]	2016	Source	Symmetric	ID-Based	Distributed	Simulation
10	OPWUM [155]	2016	Sink	Symmetric	ID-Based	Distributed	Simulation
11	T-ROME [156]	2017	Source	Symmetric	ID-Based	Distributed	Testbed

#### TABLE XI WAKE-UP RADIO BASED ROUTING PROTOCOLS

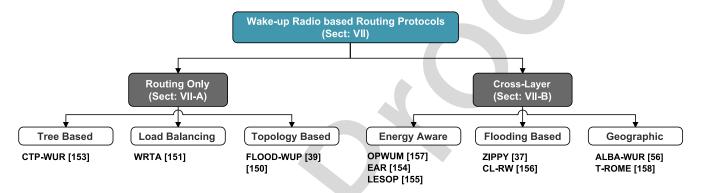


Fig. 18. Taxonomy of wake-up radio based routing protocols.

## 2281 B. Cross-Layer Protocols

2292

The protocols discussed so far were individually developed 2282 2283 for a single layer of the stack, i.e., MAC, Network, Transport, and Physical. While they exhibit good performance in terms of the metrics related to a single layer, they are not jointly optimized to maximize overall network performance while reducing energy expenditure. Therefore, a cross-layer design 2288 presents a promising alternative by streamlining communi-2289 cation between layers and providing the response based on complete view of the stack, increasing system utility and energy efficiency.

1) Energy-Aware: The main objective of energy-aware 2293 routing protocols is to extend the network lifetime by choosing optimal paths. These paths are chosen depending on the energy 2295 budget so that no single path depletes its energy quickly. 2296 Rotating among paths leads to increased network lifetime as 2297 energy is dissipated equally among all the nodes.

A cross-layer energy aware routing (EAR) protocol using WuRs [152] uses sub-optimal paths to provide substantial 2299 gains in network lifetime. In EAR, the MAC layer is responsible for keeping the lists of all its neighbors and metrics such as the neighbor's position and the energy required to reach 2303 it. Then, this list is accessed by the network layer to make decisions regarding packet routing. The energy level informa-2305 tion is used as a weight factor when routing the data, avoiding 2306 the paths with less residual energy. Finally, to send data the

MAC layer transmits a wake-up signal on the broadcast chan- 2307 nel, modulating the address of targeted node with the wake-up 2308 signal. Even though this method takes energy into account, it 2309 does not consider end-to-end latency. Moreover, this protocol 2310 has only been evaluated through simulations. 2311

OPWUM [155] offers another opportunistic cross-layer 2312 MAC protocol leveraging WuRxs for selecting the best 2313 receiver among its neighboring nodes using energy as a met-2314 ric. To overcome collisions between wake-up beacons, a clear 2315 channel assessment (CCA) is performed using the WuTx. 2316 Thereafter, an RTS-CTS is exchanged between the WuTx and 2317 WuRx before sending any data packets via the main radio. 2318 One of the features of OPWUM is that all the next hop relay 2319 selection phase is carried out using wake-up beacons only. 2320 Nonetheless, this proposed protocol has not been tested using 2321 real experiments.

Unlike classical approaches, Low Energy Self-Organizing 2323 Protocol (LESOP) [153] presents a cross-layer architecture 2324 where both Application and MAC layers collaborate directly 2325 while Transport and Network layers are excluded to simplify 2326 the protocol stack. Inter-node communications are done by 2327 exchanging packets and busy tones. The main radio is respon- 2328 sible for handling all data packets while the busy tones are 2329 sent using the secondary low power wake-up radios. This 2330 protocol is proposed for target tracking applications in large 2331 wireless sensor networks. Similar to EAR, this protocol does 2332

2333 not investigate the importance of system delay and is tested 2334 in simulations only.

2) Geographic: In geographic routing protocols, the data 2336 packet is routed towards the destination region using geographically informed neighbor selection heuristics. The key concept 2338 is to collect data from the selected region rather than sending it through the whole network hop by hop.

Spenza et al. [54] proposed ALBA-WUR, a cross-layer 2341 solution for data collection exploiting semantic node address-2342 ing features of WuRx to implement complex relay selection 2343 policies. For data routing and path selection, the protocol 2344 relies on ALBA-R, a cross-layer geographic protocol that fea-2345 tures the integration of awake/sleep schedules, MAC, routing, 2346 load balancing, and back-to-back packet transmissions [157]. 2347 Simulation results concerning average end-to-end data latency 2348 show that the use of WuR technology together with ALBA-R 2349 is effective for cutting down the time needed to deliver pack-2350 ets to the destination. However, this delay is dependent on the 2351 data rate used to transmit wake-up signals.

**T-ROME**, a cross-layer routing protocol that supports 2353 multi-hop communication, is presented in [156]. At the MAC 2354 layer, T-ROME uses RTS/CTS messages to reduce packet 2355 collisions over the WuR. At the network layer, the data forwarding mechanism of T-ROME is similar to ALBA-WUR 2357 but does not flood the whole network. In T-ROME the next 2358 hop node is chosen dynamically using link quality estimation 2359 over the WuRs to determine if the relay node is within the wake-up range. If so, the data is directly sent to that particular 2361 node without passing from each child to its parent. Therefore, 2362 T-ROME saves energy by skipping nodes during data trans-2363 mission. Using small scale testbed, authors have shown that T-ROME outperforms CTP-WUR in terms of number of hops 2365 required to reach the sink with reduced latency and power 2366 consumption.

3) Flooding Based: In this category, the node that has data 2368 communicates it to everyone else in the network using flood-2369 ing. Multiple copies of the incoming packets are sent by the 2370 nodes that are in the broadcast domain which they forward to their neighbors. This technique generates a huge amount of 2372 redundant traffic. However, it does not require costly topology 2373 maintenance and route discovery procedures.

2374

A practical application of ultra-low power sub-GHz WuR 2375 is presented by Sutton et al. [37]. ZIPPY is a cross-layer 2376 protocol that provides on-demand network flooding for the 2377 multi-hop network through the use of ultra-low power wake-up 2378 receivers equipped at each node, albeit with reduced per-hop 2379 range compared to using high-power transceivers. The ZIPPY 2380 protocol features asynchronous network wake-up, neighbor-2381 hood time synchronization, bit-level data dissemination and 2382 carrier frequency randomization leveraging low complexity WuRs. Using ZIPPY reduces the entire network flooding time 2384 while maintaining end-to-end latency of only a few microsec-2385 onds. As in its current implementation, ZIPPY does not 2386 address the false wake-ups making it susceptible to erroneous 2387 network wide wake-up.

Cross-layer Radio Wake (CL-RW) [154] builds on the 2389 transmitter-initiated paradigm by coordinating the wake-up 2390 beacon transmissions. The proposed mechanism uses an 2391 asynchronous scheduler for controlling its WuR, which is a cross-layer information from the MAC layer, to form an oper- 2392 ation cycle. This cycle is a network-level duty cycle that is 2393 built on top of the duty cycles of individual nodes. Instead 2394 of transmitting wake-up beacons independently, each WuTx 2395 transmits during its allocated schedule. Therefore, the beacon 2396 transmissions in a network are coordinated to form a multi-hop 2397 path like a pipeline and the waiting time in each hop is signif- 2398 icantly reduced. Furthermore, a node that has generated data 2399 can keep the radio off to save additional power. The proposed 2400 idea is compared to AS3-MAC [158] and the experiments 2401 show significant reduction in the power consumption.

C. Summary

This section has provided a classification of WuR based 2404 routing protocols, including also cross-layer approaches. Most of 2405 these studies have shown that by combining wake-up capabilities 2406 with selective addressing and routing over WuRs, node lifetimes 2407 can be extended to decades while achieving data latencies 2408 comparable to networks that only use the single main radio. 2409

Most of the routing protocols discussed in this section 2410 assume static networks where the sensor nodes and the base 2411 station are stationary. An interesting issue to look into will 2412 be consideration of node mobility. For diverse applications 2413 of WuRs such as smart city or transportation, routing proto- 2414 cols for mobile WSNs will be beneficial to provide real-time 2415 delivery and wider coverage. Routing messages in a mobile 2416 scenario is challenging since route stability becomes an impor- 2417 tant optimization factor, in addition to bandwidth and energy. 2418 Use of wake-up radios for mobility purposes requires opti- 2419 mization of transmitter operation, such as the number and 2420 time interval over which to transmit wake-up beacons so that 2421 they are correctly received by the low power wake-up receiver 2422 for controlling main radio operation as proposed in [159]. 2423 Novel routing algorithms are needed to handle the overhead of 2424 mobility and topology changes in such an energy-constrained 2425 environment.

Multichannel routing protocols have recently gained pop- 2427 ularity in the context of WSNs, due to their ability to be 2428 resilient against interference and collision, providing a signif- 2429 icant performance benefit over a purely static approach. Such 2430 protocols involve various challenges such as channel selection, 2431 hidden terminal problem, and channel hand-over. Thus, rout- 2432 ing for multi-channel WSNs over wake-up radios needs to be 2433 further studied.

Network security is another aspect that needs to be consid-2435 ered. Routing protocols must be robust against eavesdropping 2436 and malicious behavior. An attempt to address this using 2437 wake-up radios has been made in [160].

Finally, most of the routing protocols that exploit wake-up 2439 radios for the WSNs have been evaluated principally through 2440 simulations. To assess the real benefit and the performance of 2441 these protocols, thorough testing in real environments with a 2442 large network is essential. 2443

#### VIII. KEY APPLICATION AREAS

Over the decades, the application of WSN has increased, 2445 spanning from monitoring natural phenomena such as 2446

Applications	Range	Lifetime	Mode of Data Collection	Network Type	Latency	Data Rate	Addressing	Power Source
WBAN Implantable devices		++	Event-driven On-demand	Star/Single-hop		++	Yes	Active
Smart City Infrastructure monitoring Environment monitoring	++	+	Event-driven On-demand	Node-to-node Multi-hop Mobile	-	+	Yes	Active Passive
Smart Metering Utility monitoring	+	+	On-demand	Node-to-node Mobile	-	+	Yes	Active
Wildlife Monitoring Contact detection	++	+	Event-driven Periodic	Node-to-node Multi-hop Mobile	-		Yes	Active
Surveillance	++	++	Event-driven	Star Multi-hop		++	Yes	Active
Indoor Localization	+	++	Event-driven On-demand	Star Multi-hop Mobile		++	Yes	Active
Asset Tracking	+	++	Event-driven On-demand Periodic	Star Multi-hop Mobile	+	-	Yes	Active Passive
Wearables	-	++	Event-driven On-demand	Star Node-to-node	7	++	Yes	Active
Smart Grid	+	++	Event-driven On-demand	Star Multi-hop		+	Yes	Active Passive
<b>Requirement Importance</b>	- Low	Very low	+ High	++ Very high				

TABLE XII
WAKE-UP RADIO BASED APPLICATION REQUIREMENTS

<sup>2447</sup> temperature and humidity to personal health. With the pro-<sup>2448</sup> liferation of low power and cheap semiconductors, WSNs are <sup>2449</sup> expected to gain even more popularity [2].

With the understanding of the ultra-low power WuR built in the previous sections, we now briefly discuss multiple emerging application scenarios that can take advantage of it. We then map the different prototypes and protocols suitable for each application. Table XII offers an overview while the remainder of this section provides details.

## 2456 A. Wireless Body Area Network (WBAN)

Wireless body are networks (WBANs), find applicabiltype ity in medical applications and thus require high reliability.
To support a variety of applications on or inside the body,
systems must have low power consumption and support variable data rates [161]. As an example of the latter, a glucose
level monitor requires less than 1 kbps while an ECG can
reach 192 kbps [161]. Further, WBAN communication can
be periodic, event-driven, e.g., triggered by detection of an
alett condition, or on-demand, e.g., in response to an external
request by a clinician to retrieve saved data.

WuR technology can be applied in two principle ways. First, to can be used as a trigger to initiate high data rate communication. Alternately, it can be used as a low rate, low consumption data radio [162]. Notably, the short range is not an issue for these applications [163], and the extremely low standby consumption is a major advantage. For example, a receiver sensitivity of -40 dBm is sufficient to receive a signal

transmitted with 0 dBm [164]. With low sensitivity demand, 2474 energy efficient WuRs can be implemented as a simple star 2475 topology with the number of nodes typically ranging from 2476 two to ten.

1) Matching Prototypes: From the list of prototypes in 2478 Table VIII, there are 23 WuR designs that match the criteria 2479 for the first scenario. All of these designs are ultra-low-power 2480 consuming, below 10  $\mu$ W, and have node addressing capabili- 2481 ties. For the second scenario where WuRs can be used as a full 2482 data transceiver, five concepts [36], [66], [72], [73], [99] are 2483 found to be suitable. Four out of five of these are tested pro- 2484 totypes while the design concept by Jean-François *et al.* [73] 2485 is only in simulation. Nevertheless, all of them have data 2486 rate above 200 kbps while exhibiting power demand below 2487  $10~\mu$ W.

2) Suitable Protocols: From the system design perspec- 2489 tive, there are a few WuR enabled MAC protocols specif- 2490 ically designed for BAN applications. To offer high data 2491 rate and low latency, all of these are always-on wake-up 2492 MACs. The protocol proposed in [136] is transmitter-initiated 2493 while AWD-MAC [141] is receiver-initiated. However, we 2494 argue that the MAC protocols suitable for WBAN should 2495 be bi-directional so that anomaly can be reported effec- 2496 tively and on-demand. Protocols such as those presented 2497 in [42], [133], [134], and [138] are best suited for this. For 2498 communicating data, WBAN applications require either star 2499 or single-hop network, therefore, the complex routing protocol 2500 is not essential.

#### 2502 B. Smart City

2531

The concept of the Smart City is growing in popularity 2503 2504 as sensors placed throughout cities are used to support both 2505 the public administration as well as citizens directly. A large 2506 number of the placed sensors exploit wireless communication 2507 and are battery powered, allowing them to be opportunistically placed. Nevertheless, this necessitates low power operation.

Today, a majority of smart city nodes communicate 2510 wirelessly over a variety of links such as IEEE802.15.4, IEEE802.15.4g, IEEE802.15.1 (Bluetooth), or low-power 2512 802.11 [165]. WuRs can play a critical role in making these 2513 networks more energy-efficient, scalable, and autonomous. For 2514 example, a single-hop case can be built in which a mobile 2515 data collector, e.g., a bus or garbage truck, is equipped with a WuR. This mobile data collector traverses the city and collects 2517 information from WuR based sensing nodes deployed along 2518 its route. The sensing nodes will only be activated when the 2519 mobile data collector sends the WuS querying these nodes for 2520 data (on-demand) [17]. The feasibility of utilizing WuRs for 2521 data aggregation and for opportunistic networking in a smart 2522 city scenario has been demonstrated in [166].

Infrastructure monitoring is also possible by using WuRs in 2523 2524 a multi-hop manner [167]. A stationary or mobile data collec-2525 tor can gather data from a chain of sensors attached to a bridge, 2526 tunnel or simply along the streets. WuR enables the higher 2527 power sensing nodes to remain in low energy mode when 2528 there is no data to send. Instantiating this scenario, however, 2529 necessitates a solution for the mismatch between the typical distance of the WuR and that of the primary radio.

1) Matching Prototypes: In order for the WuR to be suit-2532 able for smart city applications, it should support reasonable 2533 data rate, long communication range for wider coverage and 2534 low power operation. We have identified four prototypes that 2535 meet these specifications [36], [40], [54], [67], i.e., prototype 2536 numbers 15, 21, 41 and 67 listed in Table VIII. Most of the 2537 prototypes in Table VIII do not meet this criterion since either 2538 power consumption is high, a factor that limits node lifetime 2539 if battery powered or has shorter communication range than 2540 40 m. Non-RF WuRs are not suitable due to the requirements 2541 imposed by the hardware such as sensitivity and LOS for 2542 optical based systems.

2) Suitable Protocols: The sensors deployed within the 2544 smart city may either report periodic or on-demand data with various traffic loads. This adds an additional reliability crite-2546 rion in addition to coverage and responsiveness. For instance, 2547 infrastructure monitoring systems demand fast responsiveness 2548 and should be energy efficient. That is, the events should be 2549 rapidly detected and reliably communicated in an energy effi-2550 cient way through a multi-hop network for post-processing. 2551 Thus, the protocol should support event-triggered as well as 2552 periodic sensing. Various flavors of the surveyed MAC proto-2553 cols can be adopted. For low latency, broadcast based MAC 2554 protocols such as PTW, AWD-MAC, and STEM-B are suit-2555 able candidates. Sensors that may rely on energy harvesting 2556 technologies can utilize SLAM, WUR-TICER, and DoRa as 2557 main MAC. If a specific node is to be queried bi-directional 2558 MACs such as W-MAC are applicable. For periodic sensing

where nodes can be switched off during periods of inactivity, 2559 duty-cycle wake-up MAC should be considered.

After a certain duration, nodes may fail due to battery deple- 2561 tion or other external factors, therefore, new routes have to be 2562 established. Thus, the routing protocols should be adaptive and 2563 provide support for multi-hop data collection. For rapid data 2564 dissemination, network flooding protocols such as ZIPPY and 2565 FLOOD-WUP should be adopted.

#### C. Smart Metering

Smart meters enable remote, wireless reading of current 2568 meter values, eliminating the need for a technician to enter 2569 the home. Typical installations today place a mains powered, 2570 wireless communication unit on the meter and a mobile unit 2571 carried by a technician in a mobile vehicle. While this saves 2572 the time and energy of the technician to visit each meter, the 2573 radio itself must be powered to wait for the reading signal.

Instead, a utility meter equipped with a WuRx [168] can be 2575 activated on-demand, requiring zero or near-zero consumption 2576 in between readings. To be acceptable, the solution must have 2577 ultra-low consumption (10+ years battery lifetime at 1 read- 2578 ing per month). Since utility meters are usually placed inside 2579 the building, it should also have good radio signal penetra- 2580 tion and high sensitivity operating in a sub-GHz frequency. 2581 Typically a communication distance of 15 m is required. 2582 According to communication standards for smart metering 2583 in Europe [169], the maximum allowed effective radiated 2584 power (ERP) in 868 MHz band is 25 dBm. A receiver with 2585 a minimum sensitivity of -75 dBm will be able to receive 2586 packets at a distance of 15 m. The required data rate for 2587 smart metering applications is moderate, supporting data rates 2588 between 2.4 kbps and 200 kbps. Moreover, the WuR should 2589 have addressing ability in order to query specific smart meter 2590 with its unique serial number.

- 1) Matching Prototypes: From Table VIII, eight prototypes 2592 match the requirements imposed by smart metering applica- 2593 tion. The designs presented in [36], [37], [40], [54], [56], 2594 [61], [62], and [67] exhibit power consumption below 60  $\mu$ W 2595 with good receiver sensitivity and node addressing capabilities 2596 while offering tens to hundreds of kbps data rate.
- 2) Suitable Protocols: Usually, the communication will be 2598 infrequent and demand-driven, i.e., upon a request from the 2599 data collector, therefore, polling based (taking-turns) MAC 2600 protocols best suits smart metering applications. With regard 2601 to routing, various WSN protocols may be considered [170]. 2602 However, mostly WuR-enabled meters will communicate to 2603 the collector in one-hop, then complex routing protocols are 2604 not suitable but require to maintain end-to-end reliability with 2605 nodes to be uniquely identified. 2606

## D. Wildlife Monitoring

Use of sensor networks for wildlife monitoring has gained 2608 momentum in the recent years. Wildlife monitoring is essen- 2609 tial for keeping track of endangered wild animal movement 2610 patterns, habitat utilization, population demographics, snar- 2611 ing and poaching incidents and breakouts. For example, 2612

<sup>2613</sup> WildScope [171] project attaches sensor nodes on wild ani<sup>2614</sup> mals like deer and foxes to track and to study their interaction
<sup>2615</sup> and feeding behavior.

Data collection from wildlife has been one of the hindrances in the past, thanks to sensor equipped animal collars it is much easier and cheaper now. These collars have various integrated technologies like GSM and GPS module for tracking, high power transceivers with long range for animal proximity detection and wireless data off-loading. Due to continuous mobility, the collars require battery power with lifetime extending from from few weeks to months.

To prolong the lifetime, animal collars mostly use duty cycling MACs such as low power listening (LPL), where the nodes periodically wake-up, transmit the data and then go back to the sleep state. Normally, low sampling intervals ranging between an hour and a day is chosen, since a higher sampling rate would deplete batteries too quickly. However due to the periodic operation, if there are any events of interest such as interactions between animals during this inactive period of the sensor node, it will be missed and not detected at all.

The problems mentioned above motivates the use of WuR 2633 technique for lifetime extension in wildlife monitoring sce-2635 narios. Similar to health-care, the benefit of WuR for wildlife 2636 monitoring purposes can be two-fold: either it can be used 2637 as a "contact sensor" or as an initiator for data communica-2638 tion. For example, collars designed in WildScope [171] project 2639 use high power CC2420 radio to listen to the beacon chan-2640 nel for a length of time and captures the ID number of the 2641 nodes within its proximity. This method for contact detection 2642 is expensive in terms of high idle listening power consump-2643 tion. Instead, WuRs can be used as a "contact sensor" while 2644 sniffing the channel for detecting other WuRs in proximity. 2645 In this manner, all the proximity beacons between animals 2646 can be captured in an energy efficient way. Not only it will 2647 reduce power consumption, but it will also reduce the latency 2648 of contact detection due to always on feature of WuR. One 2649 such example can be found in [146] where researchers have 2650 utilized WuRs to monitor contacts and encounters between individual bats.

As a communication initiator, WuRs can be used to trigger nodes in a multi-hop network for offloading data to the base station, where a logical connectivity map can be constructed. Researchers can put data collectors equipped with WuR plus data transceiver and large energy supply near places where animals are expected to aggregate such as water source or ponds. When the animals are within the range of the data collector, the radio on them will be triggered by the WuR. Then the collars can start transmitting the gathered sensor data via the main data transceiver to the data collector. Hence, the collars may last for years and the battery replacement and retrieval cost can be saved.

2664 1) Matching Prototypes: The salient criteria for WuRs for wildlife applications is that it should be low cost, power 2666 efficient and communication range (>30 m) that allows the network to cover a much larger area with few devices. The prototypes that match smart city applications are also suitable here but do not demand high data rates. Nevertheless, the performance of radios in terms of communication range may

degrade when moved to environments with varying vegetation, 2671 thus radios with high sensitivity plays a key role.

2) Suitable Protocols: As far as MAC protocols are con- <sup>2673</sup> cerned, it should support both event-driven mode for appli- <sup>2674</sup> cations like contact sensing as well as the periodic mode for <sup>2675</sup> data off-loading. Thus, adaptive MAC approach is required <sup>2676</sup> where during inactivity, the collars can save energy by duty <sup>2677</sup> cycling the WuRs and during encounters with other collars it <sup>2678</sup> can switch to continues listening mode. The MAC protocol <sup>2679</sup> should be able to dynamically adapt taking into account the <sup>2680</sup> collar activity.

In wildlife applications data is usually collected in delay- 2682 tolerant manner where it is stored locally and forwarded to the 2683 gateway when encountered with the mobile or fixed collector 2684 nodes. Low volume data can be forwarded using proactive 2685 routing algorithms that use shortest path such as EAR [152] 2686 or CTP-WUR [151].

#### E. Security and Surveillance Systems

Traditional security systems are based on high power cen- 2689 tral cameras that process and generate alarms if unauthorized 2690 objects or personnel are detected within the premises. Such 2691 systems are power hungry due to heavy image process- 2692 ing algorithms and require installation near the stationary 2693 power source. For applications such as continuous monitor- 2694 ing of large and wide area facilities, i.e., power plants, border 2695 lines, large factories, gas and oil pipelines with no stationary 2696 power source, infrastructure for cabling can, therefore, be very 2697 expensive.

WuRs with small, low cost and low power camera systems 2699 can thus be used to detect unauthorized objects, beyond the 2700 perimeter of some critical infrastructure. The monitoring area 2701 can be covered with several WuR based camera systems, 2702 working independently and stationary. All these units will be 2703 wirelessly connected to the main system for decision making. 2704 Once an intrusion is detected via wake-up cameras, the more 2705 powerful camera system can be triggered for verification and 2706 security action. To further reduce the camera activities, low 2707 power sensors with WuRs can be added as a separate network 2708 tier. The benefits for multi-modal sensing has been proposed 2709 in [172] and its extension with WuR is presented in [15]. The 2710 authors have presented a two-tier WSN for video surveillance 2711 applications where the communication between the PIR sensor 2712 nodes and the camera nodes is performed over the wake-up 2713 receivers.

- 1) Matching Prototypes: The coverage and the response 2715 latency are the important criteria for this application. The 2716 WuRs should be able to react quickly based on the information 2717 provided from the sensors thus requiring high data rates. Even 2718 WuRs consuming few milliwatts are suitable as long as com- 2719 munication range is greater than 50 m and data requirement 2720 is satisfied. The prototype designs by Petrioli *et al.* [39] and 2721 Hambeck *et al.* [67] are the ones that fulfill these requirements. 2722
- 2) Suitable Protocols: Although duty cycling the WuRs 2723 on camera nodes will reduce power consumption, it also 2724 introduces response latency. To keep the latency at bay, an 2725 alternative solution is to use MAC protocols that are based on 2726

<sup>2727</sup> always on WuRs and continuously monitor the channel while <sup>2728</sup> keeping power consumption low (e.g., W-MAC). With regard <sup>2729</sup> to routing, a cost effective and reliable multi-hop communica-<sup>2730</sup> tion network that relays the monitored information in a timely <sup>2731</sup> manner is required so that efficient monitoring of the area can <sup>2732</sup> take place.

#### 2733 F. Indoor Localization

In the recent past, robust and accurate indoor localization for navigating has become one of the challenging areas for the WSN community since the GPS does not work indoors. One of the demanding applications of indoor localization besides navigation in shopping malls, user or robot localization, and environment modeling, is support for rescue teams during emergency scenarios. In life-threatening situations such as fire, rescue teams can often lose their orientation in smoky areas due to low visibility.

To increase the indoor localization accuracy within millimeters, these systems employ external reference points known
as landmarks, for instance, Wi-Fi access points or ultra-wide
band systems for taking extra measurements like Received
signal Strength Indicator (RSSI) or the Time Difference of
Arrival (TDoA). These landmarks consume high energy, and
either they require a continuous power supply or the batteries have to be changed frequently if always kept on. In
catastrophic scenarios when there is no power available from
the grid or if the batteries run out, landmarks will be of

Integrating wake-up technology into these landmarks has the potential to extend the lifetime with improved energy consumption. Simon *et al.* [173] presented the idea of developing new WuR enabled wireless landmarks such as smoke detectors. During inactivity, these landmarks can be put into sleep state to reduce unnecessary energy wastage.

- 1) Matching Prototypes: The requirements for WuRs in the localization case are low power consumption, a communication range of few meters, and data rate in the region of several hundred kb/s. Moreover, there will be many landmarks within a building with devices operating at the similar frequency, therefore, the WuR should provide improved resistance to interference to prevent false wake-ups. WuRs operating in sub-GHz with communication range above 10 m should be preferred.
- 2769 2) Suitable Protocols: In indoor localization applications,
  2770 the navigating node will be frequently requesting the data
  2771 from the anchor or landmarks deployed within the vicinity
  2772 for updating the localization information. Thus, always on
  2773 WuR-enabled MAC protocols are best suited for this. However,
  2774 bi-directional communication is a must as the information will
  2775 be shared to and from these landmarks. For emergency appli2776 cations, the key requirement is to deliver messages in real-time
  2777 and with a high probability of success, a challenging task in
  2778 wireless sensor networks. To satisfy this requirement, adap2779 tive or opportunistic routing protocols should be adopted to
  2780 avoid routing holes (caused by nodes that have failed) or seek
  2781 real-time and valid paths in emergency situations.

## G. Asset Tracking

To improve operational efficiency in commercial businesses 2783 and to deliver quality customer experience, asset tracking 2784 during various phases is essential. Businesses as well as cus- 2785 tomers, both want to identify, locate and manage their assets 2786 in a timely manner. Traditionally, this process was done man- 2787 ually by registering product IDs when the items pass through 2788 certain warehouses or locations. A slightly faster method was 2789 introduced by use of bar codes for tracking items. However, 2790 these methods are time consuming and prone to human error. 2791 Recently, RFID technology based solutions have become more 2792 preferred choice of tracking items that uses radio signals. The 2793 items are attached with passive RFID tags and an active RFID 2794 reader is used to send signals to acquire data from these tags. 2795 Due to passive nature of the tags, the communication range is 2796 usually limited up to a few centimeters and to achieve up to 2797 few meters, large antennas are required.

To ameliorate above mentioned issues, active RFID tags 2799 have been integrated with wireless sensor nodes [174] such 2800 that the integrated tags are able to communicate with many 2801 wireless devices which are not limited to readers. The RFID 2802 system provides the product IDs while other information is 2803 communicated using the main node's radio. Consequently, 2804 active RFIDs are too costly and power hungry. Therefore, 2805 to bridge the gap between RFID and WSNs, RFIDs can be 2806 replaced with WuRs. For example, the WuRs can periodically 2807 transmit radio beacons that may contain the product ID and 2808 the timestamps forming an "smart object". Moreover, using 2809 the built-in selective wake-up method, these beacons can also 2810 serve as object selector. Thus, allowing specific nodes to be 2811 queried on demand.

Malinowski *et al.* [119] presented the idea of quasi-passive  $^{2813}$  wakeup for asset monitoring. In this work WuRx has been  $^{2814}$  integrated with sensor nodes acting as tags. Whenever the  $^{2815}$  base station queries the tags for events, the wake-up receivers  $^{2816}$  compare the signals against a threshold before activating the  $^{2817}$  main CC2500 radio. If there are no queries, the main radio  $^{2818}$  goes into sleep mode and the WuRx is kept active consuming  $^{2819}$  only  $^{25}\mu$ W of power. Another specialized tag embedded with  $^{2820}$  wake-up radios and sensors has been recently developed [57]  $^{2821}$  for indoor and outdoor asset tracking. The design is extremely  $^{2822}$  power efficient, low cost and supports dual frequency for  $^{2823}$  communication.

- 1) Matching Prototypes: To realize wake-up radio based 2825 enhanced smart objects long-term operation is an essential 2826 requirement. Energy harvesting WuRs such as those proposed 2827 in [93], [95], and [99] are suitable alternatives for enabling 2828 autonomous long-term operation with minimum maintenance 2829 cost.
- 2) Suitable Protocols: There are two types of nodes utilized 2831 in asset tracking; the gateway that is connected to the on-line 2832 database and the reader nodes associated with each type of 2833 items. To successfully locate these objects, the bi-directional 2834 communication mechanism is essential where the gateway 2835 can query the reader nodes by requesting information while 2836 the reader nodes can respond through their WuRs. On the 2837 data collection side, energy-efficient and low-power routing 2838

protocol is needed for continuous asset tracking applications.
Moreover, in storage facilities such as warehouses where hunted dreds of sensor tags equipped with WuRs might be present,
packet losses and interference will be an issue. Therefore,
robust algorithms to counteract this issue needs to be considrobust algorithms to counteract this issue needs to be considrobust ered. One possible solution is to use multi-channel protocols
with the node-addressing feature.

#### 2846 H. Wearables

Nowadays, wearable electronics have the huge potential to enhance people's lives every day. New devices like activity trackers, smart bracelet, smart clothes have appeared in myriad, bundled with appealing Apps and motivating people to electronics tackles the need to prolong the battery autonomy as long as possible as well as keeping the size small for comfortable wearing. The challenge is even harder if considering that most of the tasks required by wearable devices are data-streaming oriented (e.g., headphones, trackers, fitness equipment) and energy efficiency is a key for such devices.

The presence of WuR methods would enhance the device 2860 reducing remarkably the energy spent in idle time, when the 2861 user is not ready or not connected to the specific device, or 2862 not requesting for a specific service. Strategies, where wearable devices are combined with ultra-low power wake up 2864 radio have been already presented in [175]. Moreover, context aware applications can decide which wearable object need 2866 to be activated avoiding overlapping of services when not 2867 needed. Typically, wearable objects are connected using a well 2868 known and widespread wireless standard (e.g., Bluetooth Low 2869 Energy) to a smartphone, that is used as a central device 2870 for processing and forwarding the information to the Internet. Considering that nowadays, smartphones follow owners almost 2872 all the day, the communication range of the WuR is not 2873 an issue and very low standby power consumption can be 2874 achieved.

2876 Characteristics typical to the WBANs, and considering the short distance, potentially several WuR designs reviewed in 2877 short distance, potentially several WuR designs reviewed in 2878 this survey could satisfy the application requirements, such 2879 as [36], [66], [72], [73], and [99]. Nonetheless, most of 2880 the wearable devices offer BLE connectivity and some are equipped with Low-Power Wi-Fi. A WuR technology design 2881 the transition towards a comprehensive radio-on-chip which 2884 includes a wireless standard and WuR technology.

2885 2) Suitable Protocols: There are a few WuR enabled MAC protocols specifically designed for wearables applications, and to the author's knowledge none are specifically integrated into a standard like BLE or low-power Wi-Fi. To offer high data rate and low latency, a MAC could intensively be called by the wake-up event. Protocols such as those presented in [42] and [133] are suitable for the wearable scenario and offer insights for an integration in Bluetooth radio protocols.

## 2893 I. Smart Grid

Microgrids is a new trend for achieving energy efficiency in the distribution of the electrical energy. It is revolutionizing the

normal electrical grids within the Smart grids. Realtime con- 2896 trol services for monitoring the quality of the power distributed 2897 from big power generation plants toward small and distributed 2898 network make information and communication technology 2899 more crucial than in the past.

One of the main challenges of the smart grid applications 2901 is relying on efficient communication infrastructure and ser- 2902 vice. Communication between measurement points is often 2903 realized using heterogeneous technology, both wireless and 2904 wired. Among these, power line communications (PLC) is a 2905 straightforward non-wireless choice. Several wake-up mecha- 2906 nisms that share similar medium, requirements, and protocols 2907 have been already proposed [176], [177].

A wake-up based approach can be implemented with a 2909 very simple and low-power device that constantly observes 2910 the communication channel and informs a host system when- 2911 ever activity is detected. Since the power consumption of the 2912 wake-up is lower than PLC receiver, the overall energy con- 2913 sumption of the communication in the microgrid is drastically 2914 reduced.

- 1) Matching Prototypes: Micro-grid communication often 2916 includes heterogeneous technologies. Some of the prototypes 2917 that suit smart grid requirements are presented in [36], [67], 2918 and [178], while non-RF wakeup circuits such as those 2919 in [176] and [177] also exists even if with features tailored 2920 for cable communication [177].
- 2) Suitable Protocols: Protocols for wireless communica-2922 tion in smart grids may either report periodic or on-demand 2923 data with various traffic loads. This requires also fast respon-2924 siveness at the lowest energy cost. MAC protocols, therefore, 2925 should focus on the latency optimization and on the multi-hop 2926 characteristic of the network. In these cases, protocols such 2927 as PTW, AWD-MAC, and STEM-B are suitable candidates. 2928 For the wake-up mechanism developed over PLC (on cables), 2929 the protocol needs tight synchronization and the one proposed 2930 in [176] is suitable for the purpose.

#### J. Discussion

This is certainly not an exhaustive list, with are many other 2933 applications that can benefit from WuR technology includ- 2934 ing building automation, smart lighting, remote keyless entry, 2935 aerospace to name a few.

Depending on application demands, the requirements for 2937 low power WuRs differ. For some applications, a high data 2938 rate is essential, while for others long communication range 2939 is of importance.

Table XII provides an overview of different application 2941 requirements, which can be used as a reference for system 2942 developers to assist in categorizing and choosing the appro- 2943 priate low power WuR. However, one should note that these 2944 requirements are not meant to be strict, but rather offer guide- 2945 lines that one should keep in mind while designing WuR based 2946 systems.

As can be seen, the health-care case has the highest 2948 demand for data rate because of possible multimedia appli- 2949 cations and most stringent power requirements. The highest 2950 requirement for communication range is given in the smart 2951 city application case, closely followed by wildlife monitor- 2952 ing applications. Only health care applications have moderate 2953

TABLE XIII
SUMMARY OF ISSUES, CHALLENGES, AND OPPORTUNITIES FOR WAKE-UP RADIO BASED HARDWARE AND SOFTWARE DESIGNS

Category	Dimensions	Issues / Challenges	Opportunities
Hardware Design	Cost and Technology Integration	-short communication range	-small form factor designs
		-high deployment cost	-cheaper SoC
		-separate radio modules	-single chip packaging
	Power Demand	-always on receivers	-design of energy harvesting WuRs with low latency
		-low receiver sensitivity	-ultra low power transmitter designs
		-non-negligible listening power	-novel hardware design with short and
		-reduced data rate	long range capabilities
		-high transmission power	-design of low power, high sensitivity WuRs
	System Architecture	-no unified system and networking architecture	-WuR designs with multiple integrated sensors
			-modular architecture for easy integration
			-flexible and open source designs
Software [Protocol Designs]	Channel Sharing	-static channel assignment -asymmetric network thus mismatch of transmisson ranges	-multichannel MAC and routing protocols
			-dynamic spectrum selection
			-dynamic channel handover
			-WuR integration with cognitive radios
	Synchronous WuR-MAC	-time synchronization	-synchronous transmission over WuR
			-efficient time synchronization mechanism
			with low overhead
	Adaptive Protocols	-static network parameters -non-adaptive	-design of traffic adaptive protocols
			-dynamic route maintenance
	Mobility	-static nodes -unstable mobile routes	-design of mobility based routing
			-need for novel topology aware routing
			with minimum overhead
	Interference and Coexistence	-high interference with nearby in-band devices	-multichannel MAC and routing
			-dynamic channel hand-over mechanisms
			-robust wake-up beacon modulation techniques
	Standardization	-none available	Requires standardization of:
			-frequency
			-channel availability
			-wake-up beacon format
			-hardware design

<sup>2954</sup> sensitivity requirements owing to the shorter communication <sup>2955</sup> range.

Generally, all applications demand node addressing capability in order to query particular nodes.

Further applications can be realized if wake-up radios are designed with standalone devices. An integration into transceivers as a substitution for built-in wake-on-radio mechanisms can further optimize these applications. Finally, low power consumption for WuRs in the ultra-high frequency (UHF) band offers a vast number of new services and applications.

## IX. OPEN ISSUES, CHALLENGES AND FUTURE RESEARCH DIRECTIONS

This section presents some of the main issues and challenges that must be addressed while designing systems based on WuRs. The challenges are not only related to hardware designs but also to the design and efficiency of upper layers of the stack. We then discuss some of the research directions that can be taken to mitigate these issues as discussed next and presented in Table XIII.

#### 2974 A. Hardware Design

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The evolution of the WuR technology is mainly driven by advancements in core technology and the demand for ever-less power consumption.

2978 1) Cost and Technology Integration: Cost is one of the major factors, which is taken into consideration when designand deploying large scale WSNs. So far, the small form

factor and low hardware cost have been the key success indi- 2981 cator for WSNs. With the inclusion of WuR, the overall 2982 cost is expected to rise and can become one of the hur- 2983 dles of this method. Further, the cost of designing ultra-low 2984 power WuR is still challenging. Current WuR have a shorter 2985 communication range than the traditional radios, making it 2986 difficult to align coverage of these two radios. For wide area 2987 coverage, high-density deployment will be required leading 2988 to higher maintenance costs. Recently, to address this issue 2989 Magno *et al.* [179] have proposed a new IoT node integrated 2990 with LoRa technology and energy harvesting wake-up receiver 2991 for long and short range networking. Another design that fuses 2992 wake-up radio and BLE technology with energy harvesting has 2993 appeared in [96].

Most of the presented features, such as addressing and 2995 in- or out-of-band communication, need to be implemented 2996 in a single chip with the main radio. Keeping a dual radio 2997 mechanism using separate components is expensive for IoT 2998 device production. This also includes the RF front-end circuits 2999 whose WuR performance mostly depends on the chip design. 3000 The possibility to have everything pre-assembled or packaged 3001 in a well-characterized module or component will pave the 3002 way to create a mass diffusion of such technology. An inte- 3003 grated design including the non-volatile baseband processor 3004 with wake-up identification receiver and power management 3005 module has been recently proposed in [118]. Although the 3006 architecture has been tested only using simulations, it opens 3007 up new hardware design opportunities.

2) Power Demand: In WuR based systems, always-on 3009 WuRs constantly dissipate energy, thus designing a transceiver 3010

3011 that consumes orders of magnitude less than the main radio is 3012 necessary. The power demand of WuRs is also dependent on 3013 other factors such as reception sensitivity and data rate, which 3014 dictates the radios performance. All these factors must be 3015 considered and the trade-offs among them should be exploited.

While passive wake-up radios are an attractive and alternative means to save energy, it also poses few challenges.
Harvested energy is very sensitive to environmental conditions
and where energy sources are not always available, the wakeup procedure may be delayed. For delay-sensitive applications,
such designs may not be suitable. Therefore, an open issue
is how to reduce this delay with passive systems. Recently
Mahapatra et al. [180] have investigated how to use energy
harvesting based wake-up radios together with error control
coding to enhance the performance of networks while reducing
carbon footprint.

Further, passive WuRs have shorter communication ranges than active ones. The wake-up signals are transmitted at high power to achieve long range thus incurring high energy cost. This demands low power wake-up transmitter designs similar to wake-up receivers that are simple to implement, turn ON almost instantly, transmit a short WuS and go back to the sleep state. A few works have proposed techniques such as the use of directional antennas [35], antenna diversity [34], and ultra long range RFID [101] to improve the transmission range of these radios.

At the same time, power consumption and receiver sensi3038 tivity will still be the major drivers to determine the future
3039 direction of WuRs; because they characterize the operating
3040 range of WuR. The transmission range of any radio commu3041 nication will be the major driver for the coming generation of
3042 IoT devices. Low power communication is rapidly evolving
3043 towards multi-kilometer ranges and low bit-rate schemes. Long
3044 range sub-GHz radios such as LoRa [181] or Sigfox [182] are
3045 pioneers of this IoT communication revolution. If WuR tech3046 nology does not advance with its features, it will be hampered
3047 in this market.

3048 3) System Architecture: Currently no unified system and networking architecture exists for WuRs to build applications on top. The integration of different types of sensors, energy harvesters, and RFID tags may necessitate new and modular WuR architectures.

## 3053 B. Protocol Design

Although the notion of wake-up radio eliminates the complexity that is involved with duty cycling MACs, there are
many other challenges that need to be taken into account.
Power consumption is also affected by the channel conditions,
topology of the network, and the routing protocols utilized.

Some of these challenges and issues are discussed next.

1) Channel Sharing: Sharing channels between wake-up and main radios must be studied since these two network laymetric network. Designing protocols that are more responsive to channel changes is still an open issue. There are a few research works that have attempted to address this such as CTP-WUR [151], Guo et al. [125], and WUR-MAC [130].

One solution to opportunistic spectrum access is using cogni- 3067 tive radios. Recently, cognitive radios have been incorporated 3068 in sensor networks [183]–[185]. Traditional radios assume 3069 fixed channel allocation and usually operate in crowded unli- 3070 censed bands that are also used by other devices making them 3071 prone to interference and collisions. Cognitive radios have the 3072 ability to opportunistically select the unused spectrum either 3073 in a licensed or unlicensed band. Combining WuRs with cog- 3074 nitive radio may enhance the overall system performance by 3075 increasing the communication reliability, alleviating collisions 3076 and packet losses, and improving the energy efficiency in 3077 dense networks. Due to its dynamic spectrum selection mech- 3078 anism, multiple overlaid networks can also be realized without 3079 channel contention.

A few works have proposed dynamic channel selection by 3081 integrating wake-up radio with Wi-Fi modules. Specifically, 3082 Yoshiwaka *et al.* [186] utilized a frame length detection mech- 3083 anism with OOK modulation for selecting the appropriate 3084 Wi-Fi channel for transmission. Instead of only using wake-up 3085 radios for remote triggering, Tang *et al.* [187], [188] have also 3086 used it for carrier sensing before transmission by integrating it 3087 with WLAN. Standardization of wake-up receiver integration 3088 with WLAN has also started [189].

- 2) Synchronous WuR-MAC: Wake-up radios can also be 3090 utilized with synchronous MAC protocols for reducing latency 3091 and energy consumption [37]. However, such designs require 3092 time synchronization among the nodes. WuRs are even more 3093 resource constrained devices than typical motes in terms of 3094 processing power, memory, available energy, and communica- 3095 tion bandwidth. Thus, complex time synchronization protocols 3096 and heavy control overheads may not be feasible and requires 3097 careful design.
- 3) Adaptive Protocols: As seen in Section VIII, WuRs can 3099 be utilized for applications that have harsh environments such 3100 as structural, animal monitoring or for emergency response 3101 where nodes are prone to failures. This may lead to other 3102 issues such as transmission failure or long latencies due to 3103 poorly designed MAC and routing protocols. To mitigate this, 3104 robust and adaptive protocols utilizing WuRs needs to be 3105 designed. These protocols should be traffic adaptive, avoid 3106 routing holes, and establish new routes dynamically in order to 3107 deliver messages reliably and in real-time. WuRs also exhibit 3108 shorter communication range than main radios. The design of 3109 such protocols is an open research direction.
- 4) Mobility: Another possible area of research is the 3111 consideration of node mobility in wakeup schedule design 3112 (e.g., [145] and [146]). Most of the existing schemes assume 3113 that the sensor nodes and sink are stationary. Asynchronous 3114 and non-collaborative synchronous schemes are good candi- 3115 dates for these scenarios because their lack of coordination 3116 requirement makes them robust to network topology changes. 3117 In the presence of node mobility, schemes that require coor- 3118 dination may not converge to an optimal schedule or may 3119 generate excessive overhead. How WuRs will behave in such 3120 situations is still unknown.
- 5) Interference and Coexistence: The propagation impair- 3122 ments of wake-up radio signals in harsh environments such 3123 as forest, industrial or inside human-body also needs to be 3124

3125 considered while designing WuR based systems. According 3126 to our survey, this so far has not been widely studied. An 3127 initial study by Lebreton et al. [190] looks into the in-band 3128 interference from nearby Wi-Fi devices on a wake-up radio 3129 system. The results indicate that wake-up radios are able 3130 to maintain high performance in coexistence with external 3131 wireless networks while slightly compromising on energy effi-3132 ciency. Further investigation and study of the aforementioned 3133 propagation issues in different settings need to be conducted. 6) Standardization: It is important to remark that there is 3135 a clear lack of standardization activities related to the WuR 3136 designs such as (i) frequency usage, (ii) available channels, 3137 (iii) maximum power below which a radio can be classified as 3138 a WuR, (iv) wake-up signal format, and (v) routing topology. 3139 To address this, in July 2016, a wake-up radio study group 3140 (WUR SG) has been set up within the IEEE 802.11 working 3141 group to standardize the above activities [189]. The main aim 3142 of this group is to enable an energy efficient data reception 3143 for wake-up radios integrated with WLANs without increase 3144 of latency. An attempt has also been made to standardize the 3145 wake-up radio packet structure so that it is compatible with 3146 different technologies in the area of medical applications [41].

#### X. CONCLUSION

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Our survey identifies growing interest across the many facets of the design space of wake-up radios. Available hardhardhard ware is expanding, with improvements in range, sensitivity and consumption. Protocol stacks are emerging to exploit the novel properties of this technology, opening new application domains. Future work will require coordinated efforts at all levels to address limitations such as the difference in transmission range between a wake-up receiver and a traditional, higher power receiver. Further, issues such as interference must be studied to understand the reliability and robustness of systems incorporating wake-up receivers. Nevertheless, the potential of wake-up receivers to dramatically reduce the power consumption footprint of wireless, battery powered networks has been clearly demonstrated, offering motivation for future work.

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